

Global MHD Mode Stabilization for Disruption Avoidance in Tokamaks

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Theory/Simulation of Disruptions July 15, 2015 PPPL



To prevent disruptions in tokamaks, past stability/control achievements need to be exploited; research needs to evolve

Multi-faceted research plan includes

- Advance/validate theoretical stability understanding
- Utilize prediction/control capabilities, develop new systems
- Evolve experiments toward focused, integrated prediction/avoidance research
- Pursue/validate comprehensive research on disruption event chains and related disruption forecasting
- These research elements now being brought together as part of a disruption prediction/avoidance system for NSTX-U

□ THIS TALK – two parts

- Kinetic RWM stabilization physics unification between NSTX, DIII-D
- Disruption event chain characterization capability started for NSTX-U

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RWM phenomenology and characteristics in theory and experiment (DIII-D and NSTX)

RWM kinetic stabilization analysis / proximity of plasmas to stability boundaries

(portion of S.A. Sabbagh, et al. APS DPP 2014 Invited talk)



Analysis of DIII-D and NSTX experiments has unified understanding of resistive wall mode (RWM) stability physics

□ Importance: Strongly growing RWMs cause disruptions

- □ Also cause large stored energy collapse (minor disruption) with ∆Wtot ~ 60% (~ 200 MJ in ITER)
 - For comparison, large ELMs have \triangle Wtot ~ 6% (20 MJ in ITER)
- □ RWM is a kink/ballooning mode with growth rate and rotation slowed by conducting wall (~ $1/\tau_{wall}$)
- RWM typically doesn't occur when strong tearing modes (TM) appear
 - But, what happens when TMs are avoided / controlled (ITER)?
- RWM evolution is also dangerous as it can itself trigger TMs

RWM stability physics must be understood to best assess techniques for **disruption avoidance**



(S.A. Sabbagh, et al., Nucl. Fusion **46** (2006) 635)

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DIII-D

A classic, simple RWM model illustrates basic mode dynamics



R. Fitzpatrick, Phys. Plasmas 9 (2002) 3459

- Simulation with error field, and increasing mode drive
- Stable RWM amplifies error field (resonant field amplification (RFA))
- When RWM becomes unstable, it first unlocks, rotates in co-NBI direction
 - Amplitude is not strongly growing during this period
- Eventually unstable mode amplitude increase causes RWM to re-lock, mode grows strongly
- RWM growth rate, rotation frequency is O(1/τ_{wall})

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DIII-D and NSTX provide excellent laboratories to study kinetic RWM stability characteristics

<u>DIII-D High β_N , q_{min} plasmas</u>

- **Candidates for steady-state**, high β_N operation
- □ Can have high probability of significant RWM activity with $q_{min} > 2$
 - RWMs and TMs cause strong β collapses in 82% of a database of 50 shots examined, with an average of 3 collapses every 2 shots
 - □ RWMs cause collapse 60% of the time, TMs 40% of the time
- **Employ high** $q_{min} > 2$ to avoid 2/1 TM instability (TM precludes RWM)
 - □ Used ECCD control of 3/1 TM to provide further control of strong n = 1 TMs
- Unique 1 ms resolution of ω_φ and T_i measurement captures profile detail in timescale < RWM growth time</p>

<u>NSTX</u>

- **Strong RWM drive: Maximum** $\beta_N > 7$, $\beta_N / I_i > 13.5$
- □ Strong TMs eliminated by high elongation (> 2.6) or Li wall conditioning

Kinetic RWM marginal stability boundaries were examined over a wide range of plasma rotation profiles

RWM marginal stability examined for major and minor disruptions

- Found at high β_N and high rotation
- Found at high β_N and low rotation
 Low rotation expected in ITER
- 3. At moderate β_N and high rotation with increased profile peaking
 - similar loss of profile broadness might easily occur in ITER



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→In this presentation, variables V_{ϕ} and ω_{ϕ} both indicate plasma toroidal rotation

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2.

1. Comparison of RWM growth and dynamics in high β_N shots with high plasma rotation

Elements

- RWM rotation and mode growth observed
- No strong NTM activity
- Some weak bursting MHD in DIII-D plasma
 - Alters RWM phase
- No bursting MHD in NSTX plasma

<u>DIII-D (β_N = 3.5)</u>

<u>NSTX ($\beta_N = 4.4$)</u>





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Modification of Ideal Stability by Kinetic theory (MISK code) is used to determine proximity of plasmas to stability boundary

- □ Initially used for NSTX since simple critical scalar ω_{ϕ} threshold stability models did not describe RWM stability Sontag, et al., Nucl. Fusion **47** (2007) 1005
- Kinetic modification to ideal MHD growth rate
 - Trapped / circulating ions, trapped electrons, etc.
 - Energetic particle (EP) stabilization
- Stability depends on

$$\gamma \tau_{_{W}} = -\frac{\delta W_{_{\infty}} + \delta W_{_{K}}}{\delta W_{_{wall}} + \delta W_{_{K}}}$$

Hu and Betti, Phys. Rev. Lett **93** (2004) 105002

 ω_{ϕ} profile (enters through ExB frequency)

- Integrated $\underline{\omega}_{\phi}$ profile: resonances in δW_{κ} (e.g. ion precession drift)
- Particle <u>collisionality</u>, EP fraction

<u>Trapped ion component of δW_{κ} (plasma integral over energy)</u>

$$\delta W_{K} \propto \int \left[\frac{\omega_{*N} + (\hat{\varepsilon} - \frac{3}{2})\omega_{*T} + \omega_{E} - \omega - i\gamma}{\langle \omega_{D} \rangle + l\omega_{b} - i\nu_{eff} + \omega_{E} - \omega - i\gamma} \right] \hat{\varepsilon}^{\frac{5}{2}} e^{-\hat{\varepsilon}} d\hat{\varepsilon}$$
precession drift bounce collisionality

Some NSTX / MISK analysis references

- J. Berkery *et al.*, PRL **104**, 035003 (2010)
- S. Sabbagh, et al., NF 50, 025020 (2010)
- J. Berkery et al., PRL 106, 075004 (2011)
- J. Berkery et al., PoP 21, 056112 (2014)
- J. Berkery *et al.*, PoP **21**, 052505 (2014) (benchmarking paper)



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Evolution of plasma rotation profile leads to linear kinetic RWM instability as disruption is approached





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2. Full current quench disruption occurs as RWM grows following mode rotation at high β_N and low V_b





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3. Minor disruption occurs as RWM grows at moderate β_N correlated with profile peaking



Rotation profile evolves toward a more peaked profile, T_i pedestal lost as minor disruption is approached



Loss of pedestal causes profile peaking, correlates with RWM growth
 Example of transport phenomena that can lead to instability and minor disruption, but can also be used as an indicator for disruption avoidance

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3. Periods of RWM growth and decay leading to minor disruption correlate with bursting MHD events

 First bursting MHD event causes small ω_φ drop

 RWM rotation starts, small V₆ drop and partial recovery

 Strong RWM growth after second bursting event, strong V₆ drop

RWM amplitude <u>drops</u> after 3rd bursting event

RWM grows strongly again without an obvious trigger





The earliest potential indication of a locking island (from CER) comes after the n = 1 RWM has <u>fully</u> grown



 ¹ ms CER
 indicates that an
 island may be
 forming and
 locking by 1.510s
 Magnetics show

that n = 1 RWM reaches full amplitude by 1.509s

Conclude that this dynamic is not caused by an island-induced loss of torque balance



Kinetic RWM stability analysis evaluated for DIII-D and NSTX plasmas

Summary of results

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Plasmas free of other MHD modes can reach or exceed linear kinetic RWM marginal stability

Kinetic RWM stability analysis for experiments (MISK)



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Kinetic RWM stability analysis evaluated for DIII-D and NSTX plasmas

Summary of results

- Plasmas free of other MHD modes can reach or exceed linear kinetic RWM marginal stability
- Bursting MHD modes can lead to non-linear destabilization before linear stability limits are reached
 - Present analysis can quantitatively define a "weak stability" region below linear instability Strait, et al., PoP **14** (2007) 056101
 - $\Delta\gamma\tau_w$ due to bursting MHD depends on plasma rotation



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Kinetic RWM stability analysis for experiments (MISK)

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Kinetic RWM stability analysis evaluated for DIII-D and NSTX plasmas

Summary of results

- Plasmas free of other MHD modes can reach or exceed linear kinetic RWM marginal stability
- Bursting MHD modes can lead to non-linear destabilization before linear stability limits are reached

 Extrapolations of DIII-D plasmas to different V_{\u03c6} show marginal stability is bounded by 1.6 < q_{min} < 2.8

Kinetic RWM stability analysis for experiments (MISK)





Bounce resonance stabilization dominates for DIII-D vs. precession drift resonance for NSTX at similar, high rotation







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Increased RWM stability measured in DIII-D plasmas as q_{min} is reduced is consistent with kinetic RWM theory

 $|\delta W_{K}|$ for trapped resonant ions vs. scaled experimental rotation (MISK)





Talk PART 2: Disruption event chain characterization capability started for NSTX-U



Global MHD Mode Stabilization for Disruption Avoidance in Tokamaks (S.A. Sabbagh, et al.) July 15th, 2015

JET disruption event characterization – pioneering effort (de Vries) which provides a strong precedent



Related disruption event statistics

P. de Vries disruption event chain analysis for JET performed by hand – need to automate

NSTX-U DPAM Working Group Mtg: List of disruption chain events defined (~ P. deVries), interested individuals identified

	Impurity control (NC)	Abbreviations:
	bolometry-triggered shutdown (SPG); "tailoring" radiation-induced TM onset (LD, DG)	JWB: Jack Berkery
	change plasma operational state / excite ELMs, etc. (TBD – perhaps JC)	AB: Amitava Bhattacharjee
	Greenwald limit (GWL)	DB: Devon Battaglia
	density/power feedback, etc. (DB)	MDB: Dan Boyer
	Locked TM (LTM)	JC: John Canik
	TM onset and stabilization conditions, locking thresholds (JKP,RLH,ZW)	LD: Luis Delgado-Aparicio
	TM entrainment (YSP)	DG: Dave Gates
	Error Field Correction (EFC)	SPG: Stefan Gerhardt
	 NSTX-U EF assessment and correction optimization (CM,SPG) 	MJ: Mike Jaworski
	NSTX-U EF multi-mode correction (SAS, YSP, EK)	EK: Egemen Kolemen
	Current ramp-up (IPR)	RLH: ROD La Haye
	Active aux. power / CD alteration to change q (MDB, SPG)	CM: Clayton Myers
	Shape control issues (SC)	IKP: Jong-Kyu Park
	Active alteration of squareness, triangularity, elongation – RFA sensor (SPG, MDB)	YSP: Young-Seok Park
	Transport barrier formation (ITB)	RR: Roger Raman
	\square Active global parameter V etc. alteration techniques (SAS, IW/B, EK)	SAS: Steve Sabbagh
	$H_{\rm L}$ mode back transition (HLP)	KT: Kevin Tritz
	$\square - L \square OUE DACK-II A I S II O I (\square L D)$	ZW: Zhirui Wang
_	Active global parameter, v_{ϕ} , etc. alteration techniques (SAS, JWB, EK)	TBD: (To be decided)
	Approaching vertical instability (VSC)	
	Plasma snape change, etc. (SPG, MDB)	Interest from Theory
		interest norm meory
	Active global parameter, V_{ϕ} , etc. alteration techniques (SAS, JWB)	Amitava
_	Active multi-mode control (SAS, YSP, KT)	Bhattacharjee, Allen
		Boozer, Dylan
	• Active global parameter, V_{ϕ} , etc. alteration techniques (JEM) Interested? contact	Brennan, Bill Tang
	Internal kink/Ballooning mode (IKB) sabbagh@pppl.gov	have requested
	• Active global parameter, V_{ϕ} , etc. alteration techniques (SAS,JWB) raman@pppl.gov	involvement
	Active multi-mode control (SAS, YSP, KT)	involvement

(D) NSTX-U

Disruption Characterization Code now yielding initial results: disruption event chains, with related quantitative warnings (1)



- 10 physical disruption chain events and related quantitative warning points are presently defined in code
 - Easily expandable, portable
- This example: Pressure peaking (PRP) disruption even chain identified by code
 - 1. (PRP) Pressure peaking warnings identified first
 - 2. (VDE) VDE condition subsequently found 20 ms after last PRP warning
 - 3. (SCL) Shape control warning issued
 - 4. (IPR) Plasma current request not met

J. Berkery, S.A. Sabbagh, Y.S. Park

Disruption Characterization Code now yielding initial results: disruption event chains, with related quantitative warnings (2)



- This example: Greenwald limit warning during I_p rampdown
 - 1. (GWL) Greenwald limit warning issued
 - (VDE) VDE condition then found
 7 ms after GWL warning
 - (IPR) Plasma current request not met

J. Berkery, S.A. Sabbagh, Y.S. Park

Unification of DIII-D / NSTX experiments and analysis gives improved RWM understanding for disruption avoidance

- Growing RWM amplitude found at significant levels of plasma rotation in both devices, the underlying basic dynamics shown in simple models
- Linear kinetic RWM marginal stability limits can describe disruptive limits in plasmas free of other MHD modes
- Complementarity found: at similar high rotation, kinetic RWM stabilization physics is dominated by bounce orbit resonance in DIII-D, and by ion precession drift resonance in NSTX
- Strong bursting MHD modes can lead to non-linear mode destabilization before linear stability limits are reached

Disruption avoidance may be aided by this understanding, e.g.

- □ <u>Use plasma rotation control</u> to avoid unfavorable V_{ϕ} profiles based on kinetic RWM analysis
- Avoid or control slow RWM rotation that indicates a dangerous state of "weak stability" leading to growth
- <u>Avoid computed "weak stability" region</u> when strong bursting MHD is observed, OR stabilize the bursting modes

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Research in today's presentation is part of NSTX-U's evolving capabilities for disruption prediction/avoidance

Sensor/predictor (CY available)	Control/Actuator (CY available)	
Low frequency MHD (n=1,2,3): 2003	Physics model-based RWM state-space control (2010)	Back-up slides give further details on some of these existing/planned capabilities
Low frequency MHD spectroscopy (open loop: 2005)	Dual-component RWM sensor control (closed loop: 2008)	
r/t RWM state-space controller observer (2010)	NTV rotation control (open loop: 2003) (+NBI closed loop ~ 2017)	
Real-time rotation measurement (2015)	Safety factor control (closed loop ~ 2016-17)	
Kinetic RWM stabilization real-time model (2016-17)	Control of β_N (closed loop: 2007)	
MHD spectroscopy (r/t) (in NSTX-U 5 Year Plan)	Upgraded 3D coils (NCC) (in NSTX-U 5 Year Plan)	

Backup slides



Global MHD Mode Stabilization for Disruption Avoidance in Tokamaks (S.A. Sabbagh, et al.)



<u>Near 100% disruption avoidance is an urgent</u> <u>need for ITER, FNSF, and future tokamaks</u>

- This is the new "grand challenge" in tokamak stability research
 - Can be done! (JET: < 4% disruptions w/C wall, < 10% w/ITER-like wall)</p>
 - ITER disruption rate: < 1 2% (energy load, halo current); << 1% (runaways)</p>
 - Disruption prediction, avoidance, and mitigation (<u>PAM</u>) is multi-faceted, best addressed by focused, national effort (multiple devices/institutions)
 - Serves FES strategic planning charge; pervades 3 of 5 ReNeW themes
- <u>Strategic plan summary</u>: Utilize and expand upon successes in stability and control research – synergize elements
 - Add focused, incremental support for US research programs to show near 100% disruption PAM success using quantifiable figures of merit
 Leverage upgraded facilities with heightened focus on disruption PAM
- Leverage US university expertise, international collaborations
 e.g. JET high power operation, KSTAR long-pulse operation above ideal
 - MHD stability limits, US university scientists, post-docs, and students

A relatively modest incremental investment will greatly enhance quantifiable progress

Near 100% disruption avoidance is an urgent need for ITER; NSTX-U is developing disruption avoidance research

- □ The new "grand challenge" in tokamak stability research
 - □ Can be done! (JET: < 4% disruptions w/C wall, < 10% w/ITER-like wall)
 - ITER disruption rate: < 1 2% (energy load, halo current); << 1% (runaways)</p>
 - Disruption prediction, avoidance, and mitigation (<u>PAM</u>) is multi-faceted, best addressed by a focused, (inter)national effort (multiple devices/institutions)
- Disruption prediction by multiple means will enable avoidance via profile or mode control or mitigation by MGI



Real-time MHD spectroscopy, model-based active control, and kinetic physics will be used for disruption avoidance

MHD Spectroscopy

 Use real-time measurement of plasma global mode stability to "steer" toward increased stability

Advanced active control

- Combined Br + Bp feedback reduces n = 1 field amplitude, improves stability
- RWM state space controller sustains low l_i, high β_N plasma

Simplified kinetic physics models

 "steer" profiles (e.g. plasma toroidal rotation) toward increased stability in real-time



Kinetic effects arise from the perturbed pressure, are calculated in MISK from the perturbed distribution function



Precession Drift resonance Plasma Rotation $\omega_E \approx \omega_\phi - \omega_{*i}$ Bounce orbit resonances Collisionality July 15th, 2015 (()) NSTX-U 32

Global MHD Mode Stabilization for Disruption Avoidance in Tokamaks (S.A. Sabbagh, et al.)

<u>RWM triggers TM</u>: CER profiles illustrate spin-up phase of the n = 1 locked tearing mode



Bounce resonance stabilization dominates for DIII-D at high rotation vs. precession drift resonance for NSTX

 $|\delta W_{K}|$ for trapped resonant ions vs. scaled experimental rotation (MISK)





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3. "ELMs" become radially extended at increased β_N; may have greater influence on RWM non-linear destabilization



Rapid bursting and quick "healing" ($\Delta t \sim 250 \ \mu s$) may indicate that the internal perturbations are ideal

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B. Detail of RWM marginal point toward instability or stability might be explained by mode/plasma differential rotation



Another consistent, intriguing hypothesis is non-linear RWM destabilization caused by δB from bursting MHD event

- Non-linear destabilization theory shows growth can occur below the linear instability point when other n = 1 field perturbation is present
 - Change in stability related to perturbation magnitude
 - J. Bagaipo, et al., PoP 18 (2011) 122103
- Hypothesis
 - Due to δB from bursting MHD, marginally stable RWM becomes non-linearly unstable
 - As bursting MHD perturbation relaxes, RWM non-linearly destabilized region goes away
 - Finally, the RWM becomes linearly unstable, continues to grow (disruption)

What does the bursting MHD perturbation look like?





NSTX is a spherical torus equipped to study passive and active global MHD control

High beta, low aspect ratio

- □ R = 0.86 m, A > 1.27
- □ I_p < 1.5 MA, B_t = 5.5 kG
- □ $\beta_t < 40\%, \beta_N > 7$
- Copper stabilizer plates for kink mode stabilization

Midplane control coils

- n = 1 3 field correction, magnetic braking of ω_φ by NTV
- \square n = 1 RWM control

Combined sensor sets now used for RWM feedback

□ 48 upper/lower B_p, B_r



Combined RWM $B_r + B_p$ sensor feedback gain and phase scans produce significantly reduced n = 1 field



Global MHD Mode Stabilization for Disruption Avoidance in Tokamaks (S.A. Sabbagh, et al.) July 15th, 2015

Model-based RWM state space controller including 3D model of plasma and wall currents used at high β_N



Global MHD Mode Stabilization for Disruption Avoidance in Tokamaks (S.A. Sabbagh, et al.) July 15th, 2015

New State Derivative Feedback Algorithm needed for Current Control

• State equations to advance $\dot{\vec{x}} = A\vec{x} + B\vec{u}$ $\vec{u} = -K_c\vec{x} = \dot{I}_{cc}$ $\vec{y} = C\vec{x} + D\vec{u}$

Control vector, u; controller gain, K_c

Observer est., y; observer gain, Ko

 K_c , K_o computed by standard methods (e.g. Kalman filter used for observer)

- Previously published approach found to be formally "uncontrollable" when applied to current control
- State derivative feedback control approach

$$\dot{\vec{x}} = A\vec{x} + B\vec{u}$$
 $\vec{u} = -\hat{K}_c\dot{\vec{x}}$ \longrightarrow $\vec{I}_{cc} = -\hat{K}_c\vec{x}$

 $\dot{\vec{x}} = ((\mathbf{I} + B\hat{K}_c)^{-1}A)\vec{x}$

e.g. T.H.S. Abdelaziz, M. Valasek., Proc. of 16th IFAC World Congress, 2005

 new Ricatti equations to solve to derive control matrices – still "standard" solutions for this in control theory literature

Advance discrete state vector

$$\hat{\vec{x}}_{t} = A\vec{x}_{t-1} + B\vec{u}_{t-1}; \quad \hat{\vec{y}}_{t} = C\hat{\vec{x}}_{t}$$
 (time update)
 $\vec{x}_{t+1} = \hat{\vec{x}}_{t} + A^{-1}K_{o}(\vec{y}_{sensors(t)} - \hat{\vec{y}}_{t})$ (measurement
update)
Written into the NSTX PCS
- General (portable) matrix
output file for operator
- PCS code generalized by
K. Erickson

NSTX RWM state space controller sustains high β_N , low I_i plasma



Run time has been allocated for continued experiments on NSTX-U in 2015

S. Sabbagh et al., Nucl. Fusion 53 (2013) 104007

RWM state space controller sustains otherwise disrupted plasma caused by DC n = 1 applied field



□ n = 1 DC applied field

- Simple method to generate resonant field amplication
- Can lead to mode onset, disruption
- RWM state space controller sustains discharge
 - With control, plasma survives n = 1 pulse
 - n = 1 DC field reduced
 - Transients controlled and do not lead to disruption
 - NOTE: initial run gains NOT optimized

Open-loop comparisons between measurements and RWM state space controller show importance of states and model



Improved agreement with sufficient number of states (wall detail)

3D detail of model important to improve agreement

Global MHD Mode Stabilization for Disruption Avoidance in Tokamaks (S.A. Sabbagh, et al.) July 15th, 2015

Multi-mode computation for RWM: 2^{nd} eigenmode component has dominant amplitude at high β_N in NSTX 3D stabilizing structure



<u>δBⁿ from wall, multi-mode response</u>



□ NSTX RWM not stabilized by ω_{ϕ}

- Computed growth time consistent with experiment
- 2nd eigenmode ("divertor") has larger amplitude than ballooning eigenmode

D NSTX RWM stabilized by ω_{ϕ} (or " α ")

- Ballooning eigenmode amplitude decreases relative to "divertor" mode
- Computed RWM rotation ~ 41 Hz, close to experimental value ~ 30 Hz
- NSTX-U RWM state space controller will assess effectiveness multi-mode eigenfunctions in real-time feedback

Experiments directly measuring global stability using MHD spectroscopy (RFA) support kinetic RWM stability theory



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NSTX-U has new capabilities that impact stability and will be utilized for disruption avoidance



Non-resonant NTV and NBI used as actuators in state-space rotation feedback controller designed for NSTX-U

• Momentum force balance – ω_{ϕ} decomposed into Bessel function states $\sum n m / R^2 \sqrt{\partial \omega} = \left(\frac{\partial V}{\partial V} \right)^{-1} \frac{\partial \left[\frac{\partial V}{\partial V} \sum n m n v / (R \nabla \alpha)^2 \sqrt{\partial \omega} \right]}{\sqrt{\partial \omega} + T} = T$

$$\sum_{i} n_{i} m_{i} \left\langle R^{2} \right\rangle \frac{\partial \omega}{\partial t} = \left[\frac{\partial v}{\partial \rho} \right] \frac{\partial}{\partial \rho} \left[\frac{\partial v}{\partial \rho} \sum_{i} n_{i} m_{i} \chi_{\phi} \left\langle \left(R \nabla \rho \right)^{2} \right\rangle \frac{\partial \omega}{\partial \rho} \right] + T_{NBI} + T_{NTV}$$

NTV torque:

$$T_{NTV} \propto K \times f\left(n_{e,i}^{K1} T_{e,i}^{K2}\right) g\left(\delta B(\rho)\right) \left[I_{coil}^{2} \omega\right] \quad (\text{non-linear})$$



When T_i is included in NTV rotation controller model, 3D field current and NBI power can compensate for T_i variations



56th APS DPP Meeting: GO3.04 Characteristics of NTV for Rotation Control / Plasma Response (S.A. Sabbagh, et al.)

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<u>NSTX-U</u>: RWM active control capability increases as proposed 3D coils upgrade (NCC coils) are added



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<u>NSTX-U</u>: RWM active control capability increases as proposed 3D coils upgrade (NCC coils) are added



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