

# **Machine Learning Studies of JET Disruptions**

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**IEA Workshop: Theory & Simulation of Disruptions**

**Princeton Plasma Physics Laboratory**

**Princeton, NJ**

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## SITUATION ANALYSIS

Most critical problem for MFE: [avoid/mitigate large-scale major disruptions](#)

- [Approach](#): Use of big-data-driven statistical/machine-learning predictions for the occurrence of disruptions in JET
- [Current Status](#): ~ 6+ years of R&D results (led by JET) using SVM-based ML on [zero-D time trace data executed on modern clusters yielding ~ reported success rates ranging from 80 up to 90% for JET, BUT > 98% with false alarm rate < 3% actually needed for ITER](#) (Reference – P. DeVries, et al., June 2015)

- [PPPL Team Goals include](#):

- (i) [improve physics fidelity via development of new ML multi-D, time-dependent software including better classifiers](#);
- (ii) [develop “portable” predictive software beyond JET to other devices and eventually ITER](#); and
- (iii) [enhance execution speed of disruption analysis for very large datasets](#)

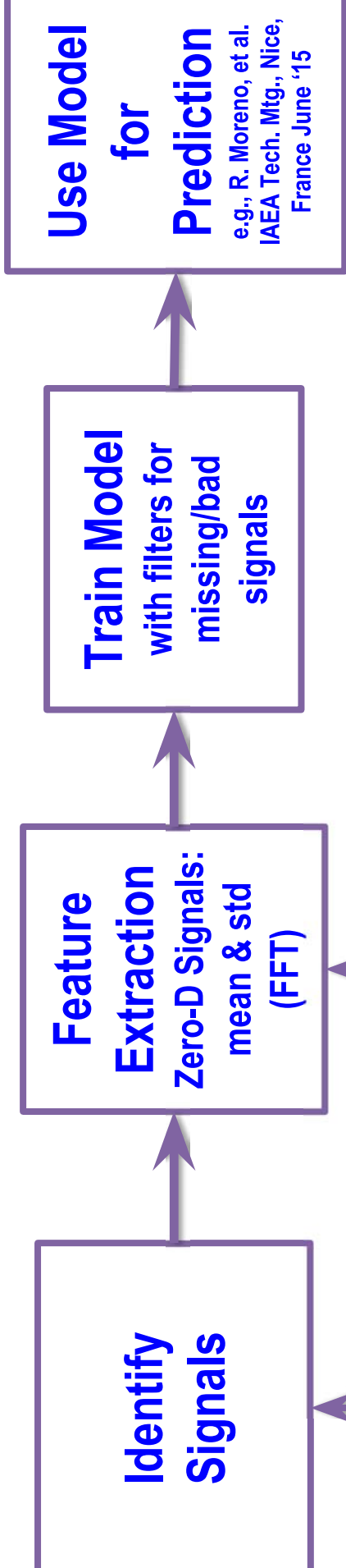
[via development & deployment of advanced ML software via SVM \(Support Vector Machine\) & DRNN \(Deep Recurrent Neural Network\) methods](#)

**NOTE:** → [EUROfusion JET leadership has provided PPPL with collaborative access to its large disruption-relevant data base, including multi-D features](#)

# CLASSIFICATION

- Binary Classification Problem:
  - *Shots are Disruptive or Non-Disruptive*
- Supervised ML techniques:
  - Physics domain scientists combine knowledge base of observationally validated information with advanced statistical/ML predictive methods. Shots can be labeled D/ND retrospectively.
- Machine Learning (ML) Methods Engaged:
  - Basic SVM approach initiated by JET team leading to APODIS software and later to [DPFD software @ PPPL](#); and [New Deep Learning Recurrent Neural Net \(DRNN\) approach @ PPPL](#)
  - Approach: (i) [examine normalized/dimensionless data;](#) (ii) [use training set to generate model;](#) (iii) [use trained model to classify new samples](#)
    - Targeted multi-D data analysis will require new signal representations

# ML SVM Workflow



Moving forward, PPPL team will focus on multi-dimensional (instead of present zero-D time trace) signals

e.g. radial temperature profile

- **Many interesting possibilities for more informative, physics-motivated features**
- For SVM: Effective feature extraction is key challenge, can't just feed raw data

## SVM Approach

- **14 Feature vectors** are extracted from raw time series data
- **7 signals\* x 2 representations<sup>+</sup>**

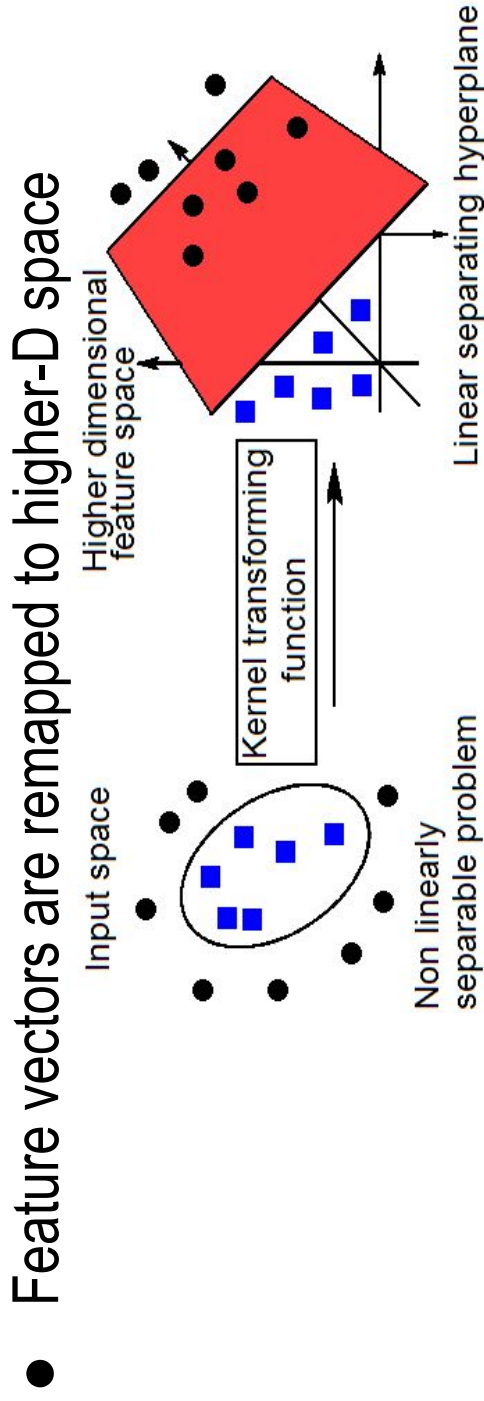
### \*Signals:

1. Plasma current [A]
2. Mode lock amplitude [T]
3. Plasma density [ $m^{-3}$ ]
4. Radiated power [W]
5. Total input power [W]
6. d/dt Stored Diamagnetic Energy [W]
7. Plasma Internal Inductance

### +Representations (set of 32

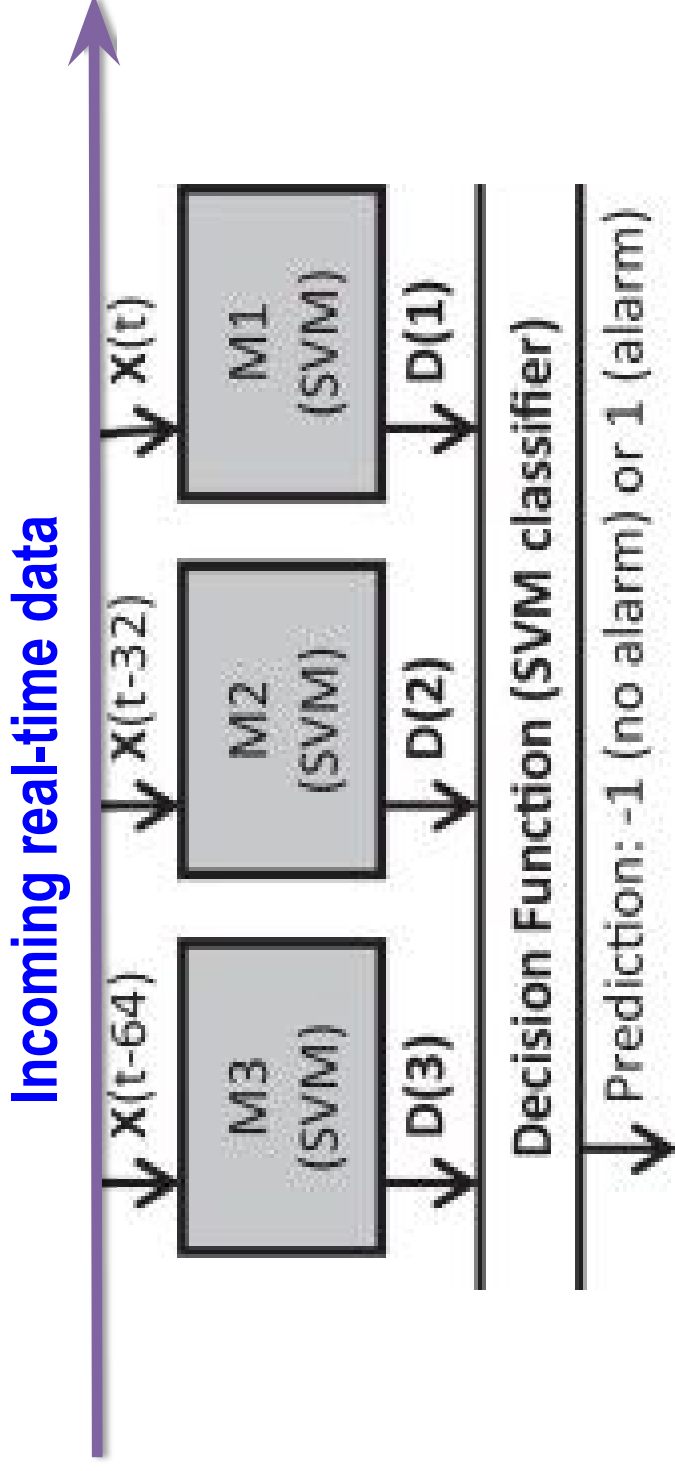
#### samples at 1 kHz):

1. Mean
2. Standard deviation of positive FFT spectrum (excluding first component)



## APODIS: Multi-tiered SVM

- separate SVM models trained for separate consecutive time intervals preceding disruption
- ◆ applied to APODIS Code developed by J. Vega, et al.



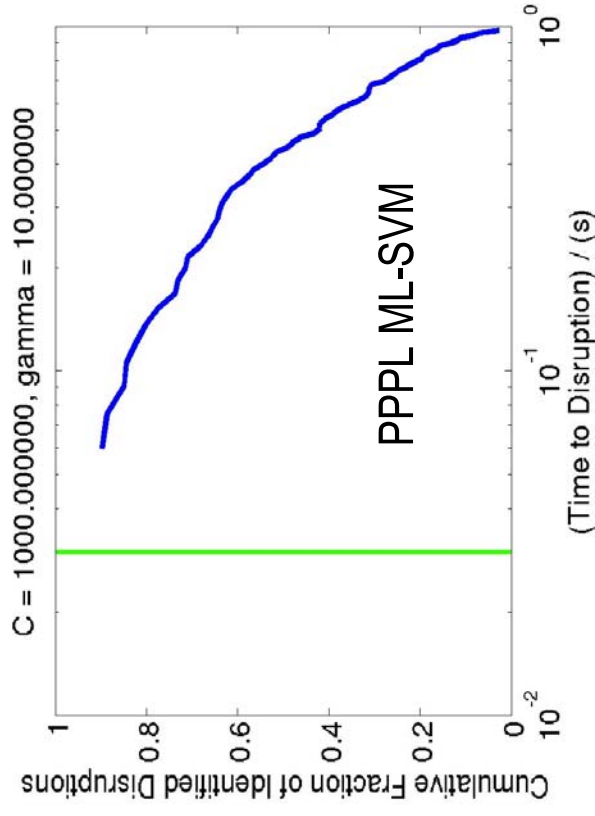
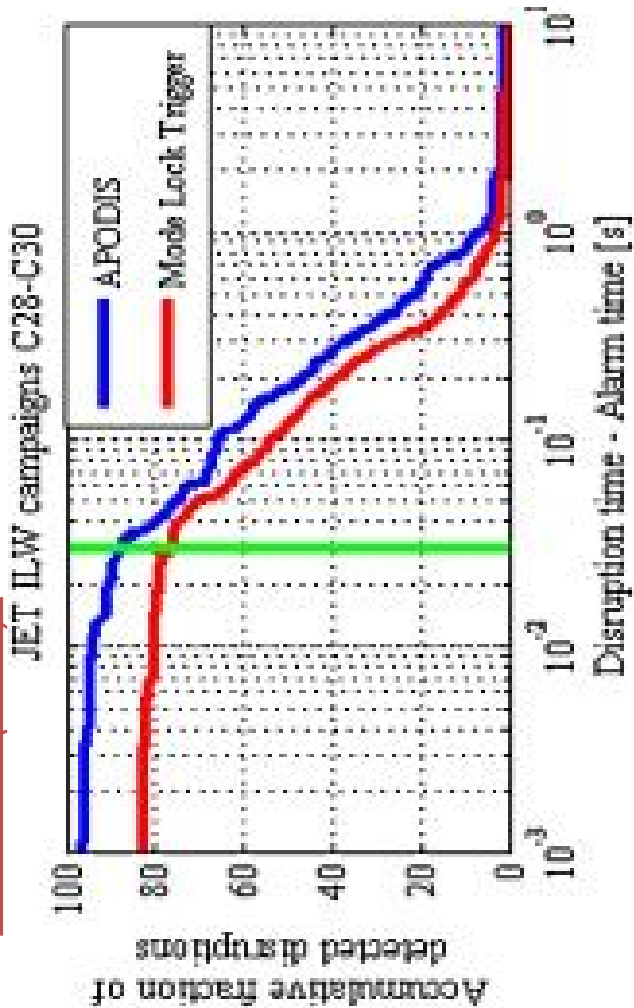
Reference: J. Vega et al. *Fusion Engineering and Design*, **88** (2013)  
[APODIS → “Advanced Predictor of Disruptions”]

## SVM R&D at PPPL

- **E. Feibush, PPPL** → utilized access to JET database to master navigation of MDS+ tree; extracted relevant signals, video, etc. Acquired and implemented SVM framework from APODIS for benchmarking PPPL software development → producing “DPFD”
- **M. Parsons, PPPL** → Rewrote development framework to be self-contained within Matlab 2014, resulting in “DPFD” software:
  - Simple training / testing possible in ~1 min
  - DPFD “Starter Kit” with documentation now available for interested users

# RESULTS for JET ILW Disruption Data: [Benchmarking PPPL SVM DPF](#) Analysis with APODIS

30 ms before disruption (Ref.-- P. DeVries – ITER disruption prediction requirements → mitigation trigger time > 30 ms)  
Both APODIS and DPF give ~ 90.0% detected disruptions, FP rate lower for APODIS (~1%).



- APODIS trained on 738 disruptive and 2,035,000 non-disruptive samples
- PPPL's DPF trained on 975 disruptive and 975 non-disruptive samples



## ML Predictive Challenges & Opportunities

- CHALLENGE: SVM results at JET currently delivers in range of 80% to 90% accuracy 30 ms before disruption, but *need to consistently achieve Actual Goal for reliable ITER operation → 98% with acceptable false positive rate*
- PPPL FOCUS: Improve predictive performance by enhancing physics fidelity following “Supervised ML via SVM and Deep Learning RNN”
  - Function of time + spatial dimensions, including profile/gradient information
    - Serve as inputs for regression-type formulation of stability thresholds for improving physics-based classifiers
    - Investigate parametric scaling trends (complementary to e.g., S. Sabbagh’s approach)
    - Explore inclusion of threshold conditions for key disruption precursors (currently NOT included in SVM classifiers) – e.g., Neoclassical Tearing Modes (NTMs)

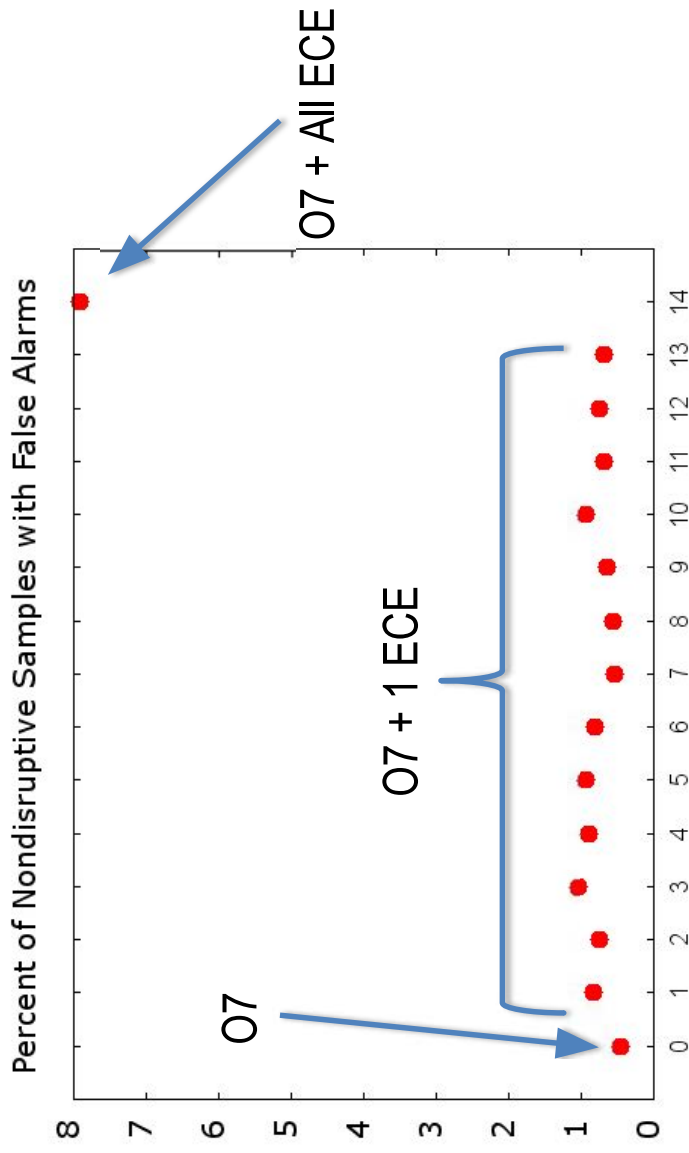
# Initial Analysis of 1-D ECE Profiles (M. Parsons)

## Work directly with $T_e$ profiles (not raw data):

- ~98% of shots had data spanning  $R = [2.9, 3.5]$  m ( $B_0 = 2.96$  m,  $a \sim 1.25$  m, no edge coverage)
  - Take  $T_e$  at 13 radial positions (every 5 cm in domain)
- Adding 13 ECE channels to O7 signals causes sparsity, loss of statistical significance & adds false alarms. No meaningful improvement to prediction success

### Early “lesson learned”:

- SVM: Be very selective in adding features to the Original 7 (“O7”) to avoid “Curse of Dimensionality”



## Initial Cross-Machine Analysis

Approach: Train model with JET (CFC) data, Test on NSTX data

- Using JET normalizations: 10 / 37 detected (all within 64 - 96 ms of warning)
- Move to portable, **physics-based normalization:**

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1. Normalized Plasma Current ( $I_N$ )	$I_p / (aB_T)$
2. Mode Lock Amplitude Fraction ( $f_{ML}$ )	$MLA / B_T$
3. Plasma Internal Inductance ( $l_i$ )	$l_i$
4. Greenwald Density Fraction ( $f_{gw}$ )	$n / (I_p / \pi a^2)$
5. Radiated Power Fraction ( $f_{rad}$ )	$P_{rad} / (P_{in} - \dot{W}_{dia})$

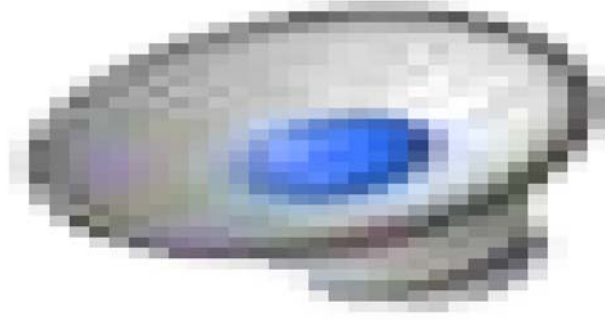
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- Shows **100% success in disruption prediction** over wide range of SVM parameters (258/258 shots)
- All NSTX shots disrupt: inability to assess false alarms motivates testing DIII-D data as next immediate target and also NSTX-U
- Productive recent discussions → strong interest at GA (R. Nazikian, T. Strait, B. Tobias, N. Logan, .... + E. Koleman at Princeton U/PPPL)

## Recent Developments & Further Challenges & Opportunities

- [Two 2016 DOE CSGF Fellows on ML Project @ PPPL: Julian Kates-Harbeck \(Harvard U.\) and Kyle Felker \(Princeton U.\)](#)
- [Matthew Parsons to move to ITER on Fullbright Fellowship \(Fall, 2016\)](#)
- [Portability Challenge](#) for ML Predictive Software (beyond JET):
  - First investigate applicability to NSTX/NSTX-U disruption database, and then move on to others (DIII-D, ASDEX, EAST, KSTAR, ..... ) → [ultimately leading to ITER](#)
- [Alternative Methods to SVM: Generative Topographic Mapping \(GTM\) with Deterministic Annealing](#) (collaboratively with J. Choi of ORNL)
  - Can be used in parallel with SVM or used to improve choice of classifiers as part of SVM workflow

Projecting 14D space (7 signals x 2) into 3D space  
to develop SVM Classifiers → movie shows  
separability of Disruptive from Non-Disruptive Data:



## DA (Deterministic Annealing) Method

Jong Choi, ORNL

*Generative Topographic  
Mapping (GTP) using  
Deterministic Annealing (DA)*

### References:

- J. Y. Choi, et al. Science Direct, Proc. Computer Science 00, 1-10 (2010)
- Geoffrey Fox, et al., Parallel Processing Letters, May 17, 2013.

## DEEP LEARNING RECURSIVE NEURAL NETS (RNN) APPROACH

Julian Kates-Harbeck, CSGF Fellow from Harvard U.

→ Rapid development of new GPU-compatible predictive software with results benchmarked vs. those from SVM analysis

## Very Promising Approach to Analysis of Higher Dimensional Signals via Deep Learning RNN

### 1D:

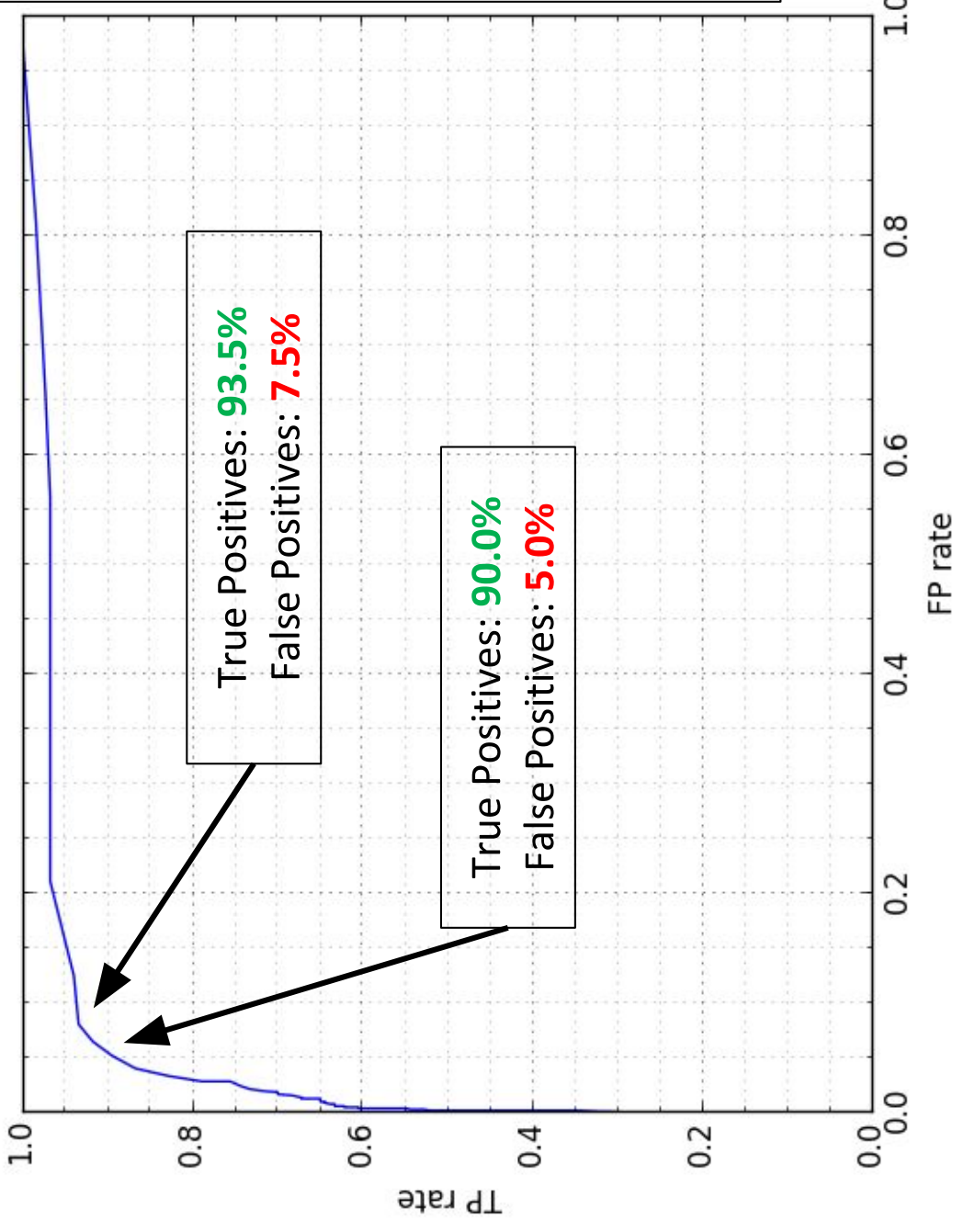
(i) radial temperature profiles; (ii) density profiles; & (iii) radiation profiles

### Goals:

- Capture **more physics and improve predictive capability**
- Efficiently address challenges of more data and longer training time  
→ modern HPC training (e.g., via GPUs & MPI) progressing rapidly!
- Demonstrate how Neural Networks can extract salient features from higher-D data dimensionally automatically
- Demonstrate improvements in accuracy of ML predictions  
→ including harvesting new physical insights in timely way

# RNNs Performance

**Performance Tradeoff: Tune True Positives** (good: correctly caught disruption) **vs. False Positives** (bad: safe shot incorrectly labeled disruptive).



## RNN Data:

- Testing **1200 shots** from Jet ILW campaigns (C28-C30)
- **All shots used**, no signal filtering or removal of shots

## Jet SVM work:

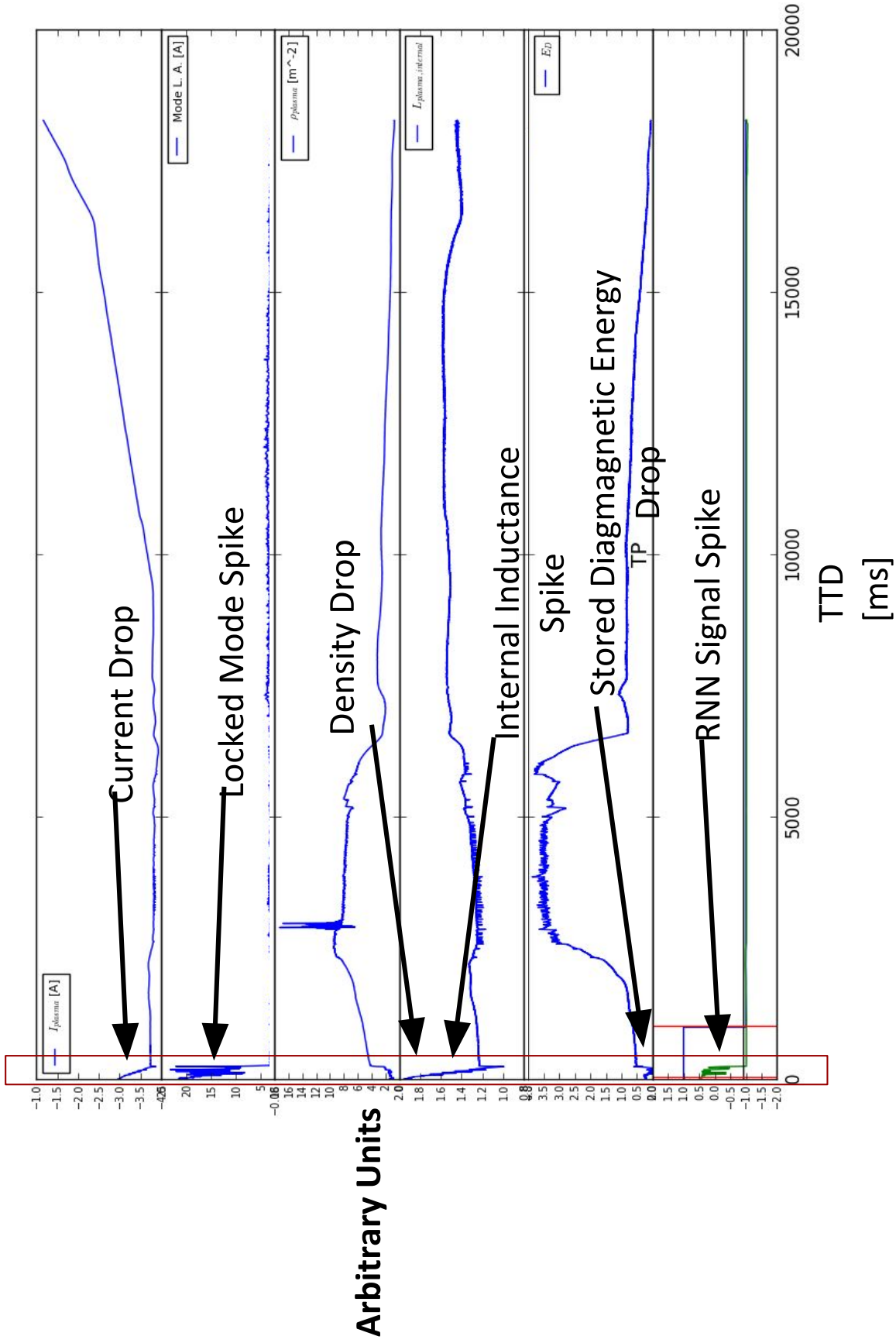
- **990 shots** from same campaigns
- **Filtering** of signals, **ad hoc removal of shots** with abnormal signals
- **TP 90%, FP 1%**

Vega, Jesús, et al. "Results of the JET real-time disruption predictor in the ITER-like wall campaigns." *Fusion Engineering and Design* 88.6 (2013): 1228-1231.



# RNNs Predictions

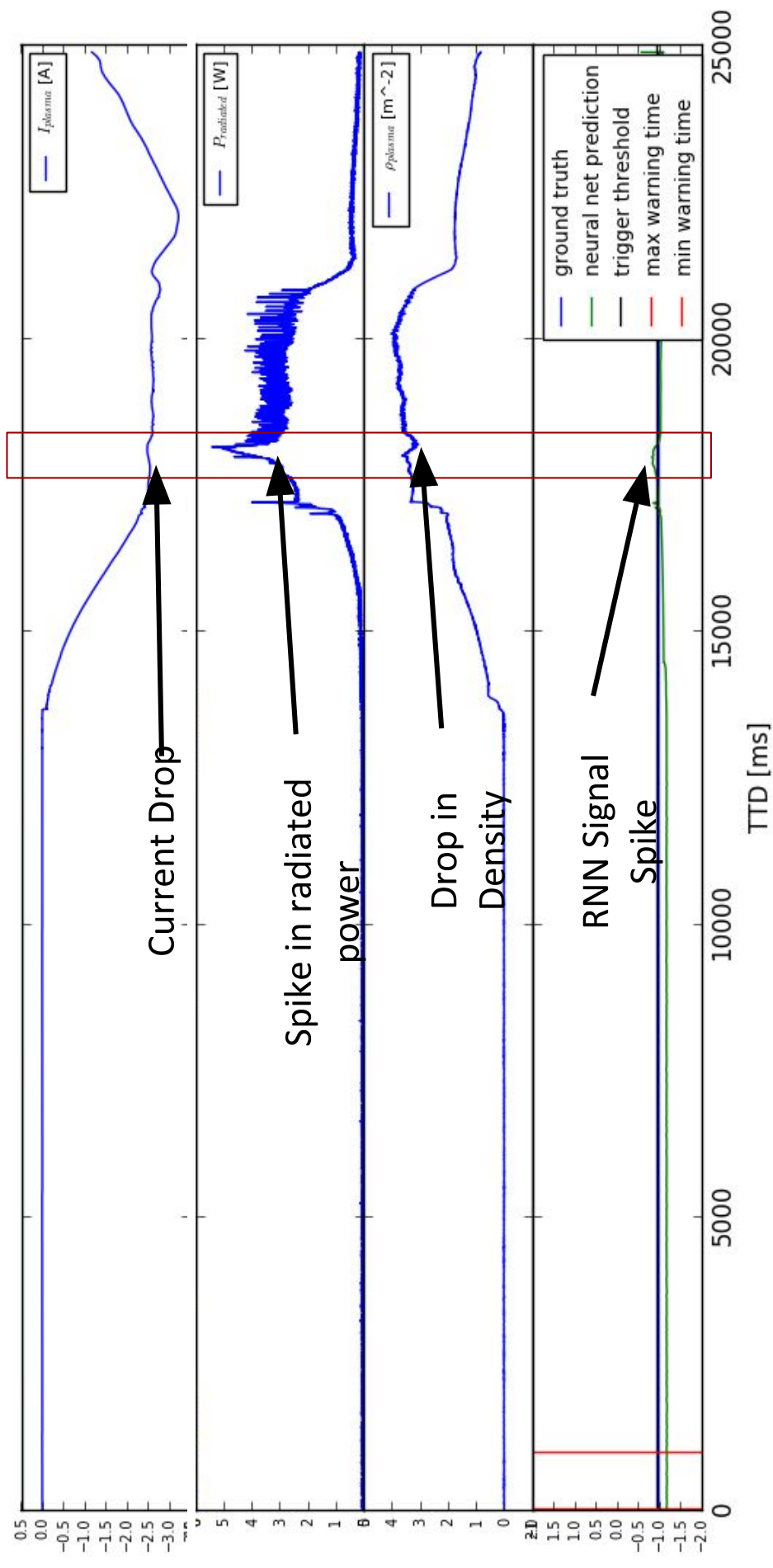
## True positive example





# RNNs Predictions

## False Positive Example or “Minor” Disruption?



# RNN Data Challenges & Innovative Opportunities

- **Hyperparameter Tuning Challenges**  
including addressing size of hidden layers; number of layers; learning rate, initialization, etc.  
Approach: Try many models and choose the best  
→ *Need rapid training time* to iterate!

- **Larger Data Set Challenges**  
For 0D: > 40GB and for 1D >1TB)  
→ *Need rapid training time* to process all data!

- **Signal Normalization & Outlier Detection Challenges**

Try many approaches and choose the best.  
→ *Need rapid training time* to iterate

HPC engagement  
(e.g. via GPUs and  
MPI) is key and  
looks very promising

# RNNs: HPC Innovations Engaged

## GPU training

Neural networks use dense tensor manipulations, efficient use of GPU FLOPS  
Over 10x speedup over multicore node training

## Distributed Training via MPI

### Linear scaling:

- Key benchmark of “time to accuracy”: we can train a model that achieves the same results nearly **N times faster with N GPUs**

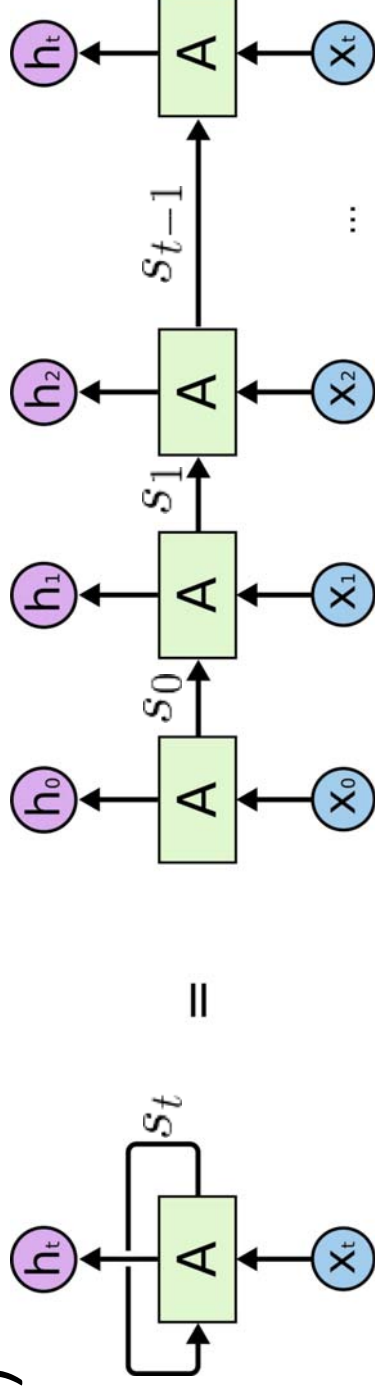
### Scalable

- to **100s or 1000s of GPUs/ Leadership Class Facilities!**
- **>> TBs of data or more**
- Example: Best model training time on full dataset (~40GB, 4500 shots) of 0D signals training
  - **SVM (JET) : > 24hrs**
  - **RNN (distributed, 20 GPU's) : ~40min**

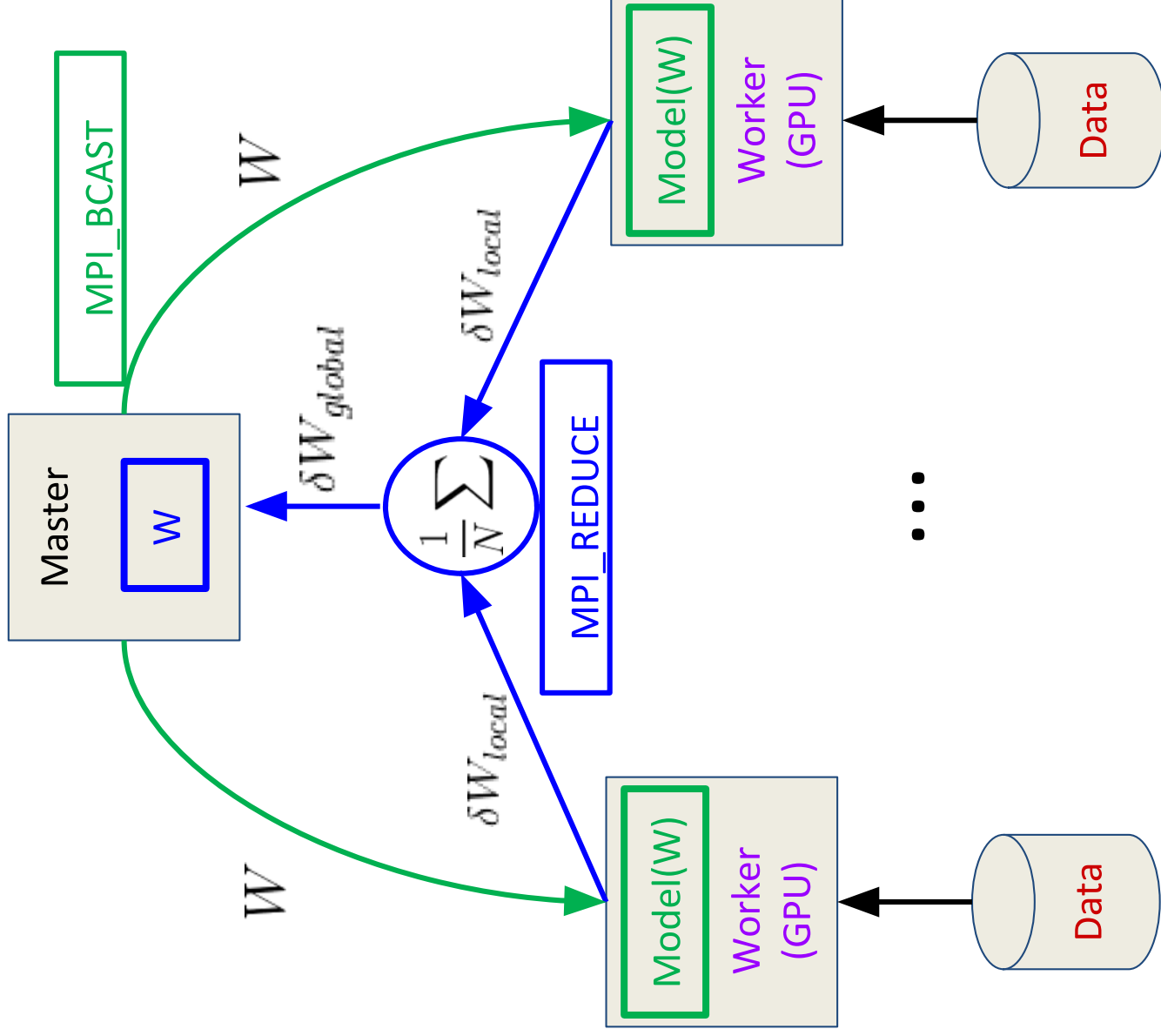
# Deep Recurrent Neural Networks (RNNs): Basic Description

- “Deep”
  - Hierarchical representation of complex data, building up salient features automatically
  - Obviating the need for hand tuning, feature engineering, and feature selection
- “Recurrent”
  - Natural notion of time and memory
  - At every timestep, output depends on
    - Last Internal state “s(t-1)” **Recurrence!**
    - Current input x(t)
  - The internal state can act as memory and accumulate information of what has happened in the past

**Internal State**  $\longrightarrow$   $s_t = A(W_{in}x_t + W_{recurrent}s_{t-1} + b)$   
**(“memory/context”)**  $h_t = W_{out}s_t$



# Distributed Training



- Distributed Training**
- Keep  $N$  model instances
  - Each computes a gradient step on a different subset of the data
  - The gradients are **reduced** (averaged) and the updates are made to a global set of parameters
  - The global parameters are **broadcast** to the  $N$  models
  - Efficient communication using custom **MPI** implementation

## Summary

- **Fusion Energy Mission Relevance:**
  - Goal of Magnetic Fusion Energy goal is demonstrating the scientific & technical feasibility of delivering Fusion Power
  - Most critical associated problem is to avoid/mitigate large-scale major disruptions
- **Relevance to HPC:**
  - **New focus** on development of large-data-driven “**machine-learning**” **statistical methods – Support Vector Machines (SVMs) and Deep Learning Recurrent Neural Nets (RNNs)** -- as an exciting alternative/complement for conventional “hypothesis-driven/first principles” predictive methods
- **Associated Challenge:**
  - Significant improvements over zero-D SVM-based machine-learning capabilities to achieve ~ 98% success rate with portability of software to ITER via enhanced physics fidelity (capturing multi-D) with improvement in execution time enabled by access to advanced HPC hardware (e.g., large GPU systems).

# **Additional Materials**

# Disruption Data Subset

# Shots	Disruptive	Nondisruptive	Totals	JET produces ~ Terabyte (TB) of data per day
Carbon Wall	324	4029	4353	
Beryllium Wall	185	1036	1221	
Totals	509	5065	5574	
Sample Signals (0-D time trace)				~55 GB data collected from each JET shot
Plasma Current				
Mode Lock Amplitude				
Plasma Density				
Radiated Power				
Total Input Power				
d/dt Stored Diamagnetic Energy				
Plasma Internal Inductance				

→ Well over 350 TB total amount with multi-dimensional data yet to be analyzed