

Tokamak Disruption Event Characterization and Forecasting Research and Progress on Expansion to Real-Time Application

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**2021 IAEA-PPPL Workshop:
Theory/Sim of Disruptions**

23 July 2021

Virtual Meeting

ASDEX-U

KSTAR

MAST-U

NSTX-U



Max-Planck-Institut
für Plasmaphysik



COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

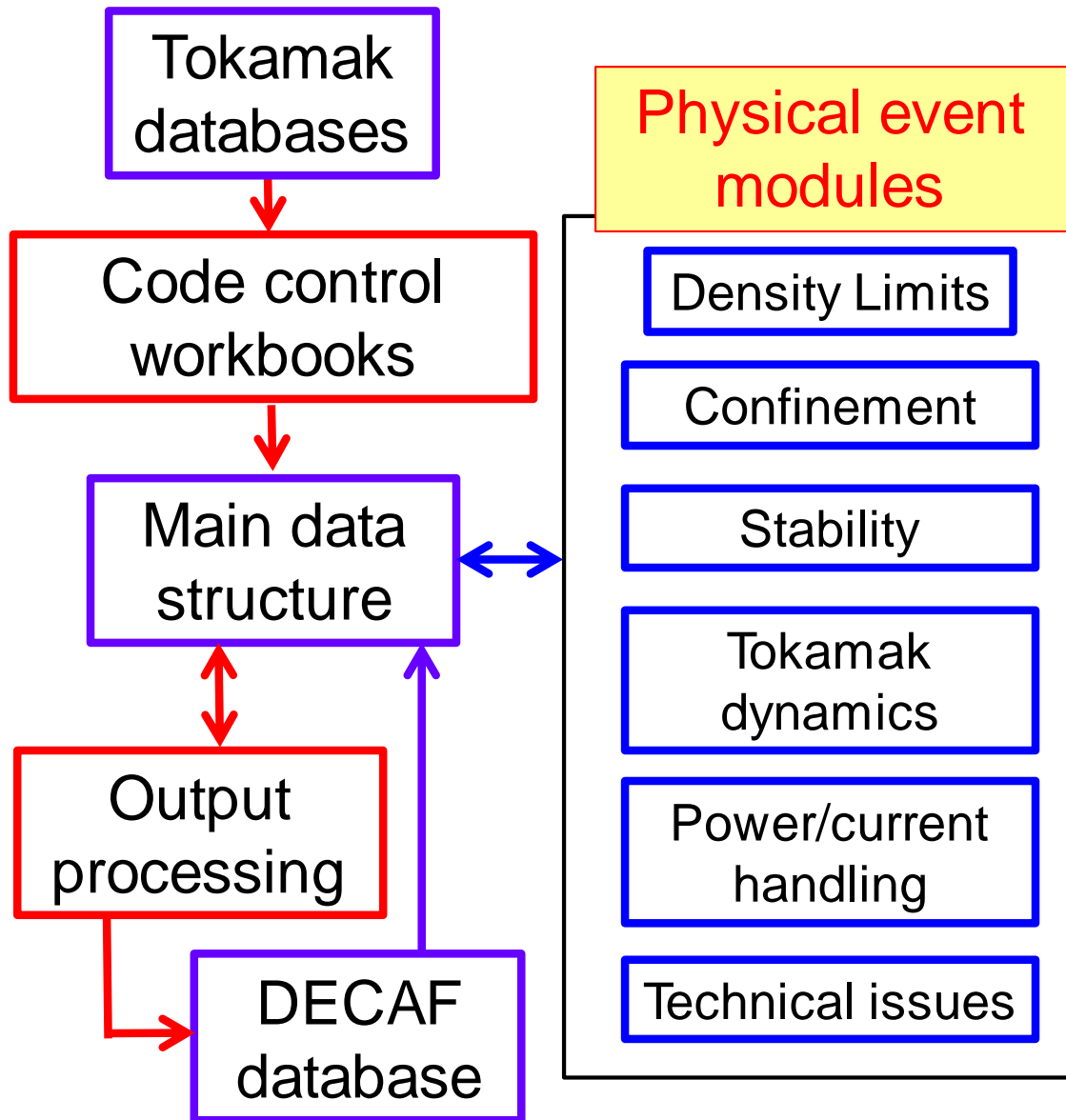
Disruption prediction and avoidance research progressing for ITER and future tokamaks – expanding to real-time

- ❑ Motivation: Disruption prediction/avoidance is a critical need
 - ❑ Why? A disruption stops plasma operation, might cause device damage
 - ❑ A highest priority DOE FES (Tier 1) initiative - present “grand challenge” in tokamak stability research:
 - Can be done! (JET: < 4% disruptions with carbon wall)
 - ITER disruption allowance: < 1 - 2% (energy + E&M loads); << 1% (runaways)

❑ Outline

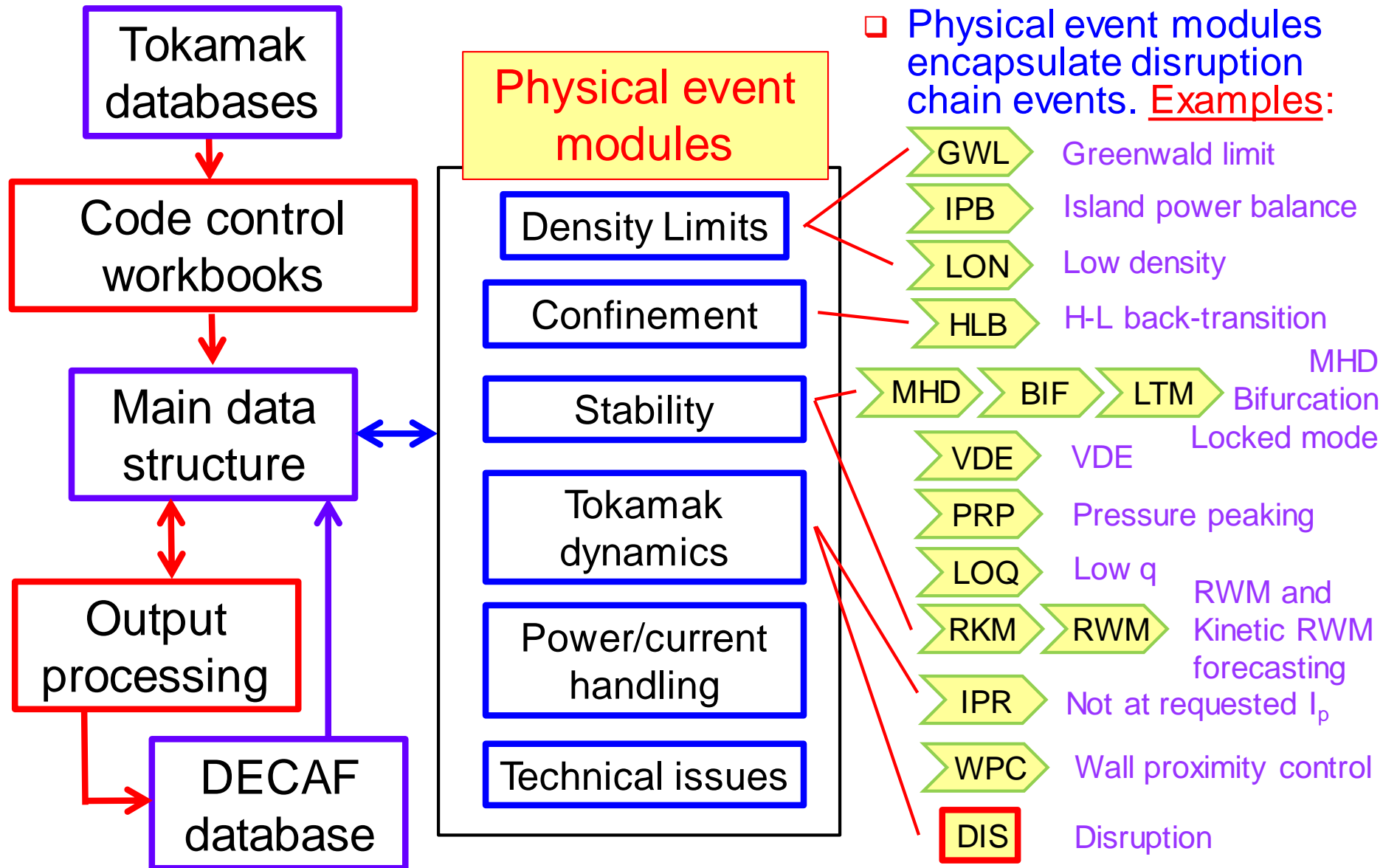
- ❑ Disruption Event Characterization and Forecasting (DECAF) analysis
 - Disruption event chains, early forecasting, brief results, continued development
- ❑ Recent focus on real-time DECAF design and implementation on KSTAR
- ❑ Expanded physics analysis supporting DECAF
 - e.g. KSTAR high β_N , Δ' analysis, high to ~100% non-inductive CD transport analysis

DECAF is a physics-based approach to disruption event understanding / forecasting to enable disruption avoidance



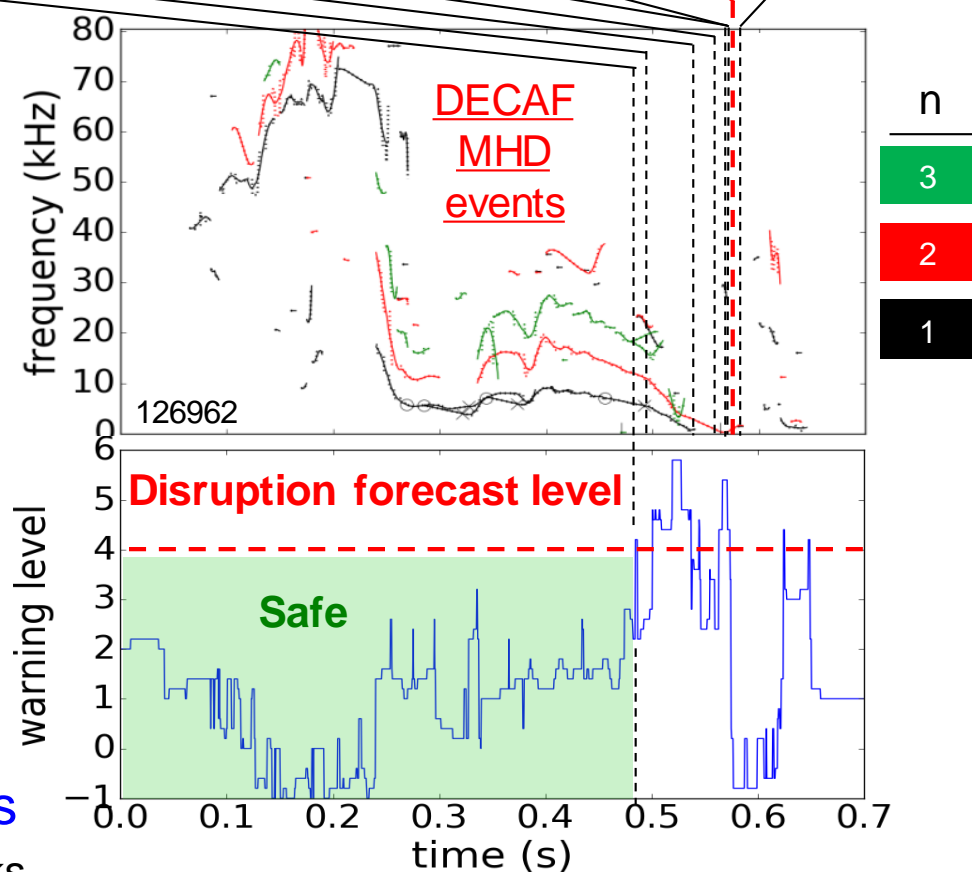
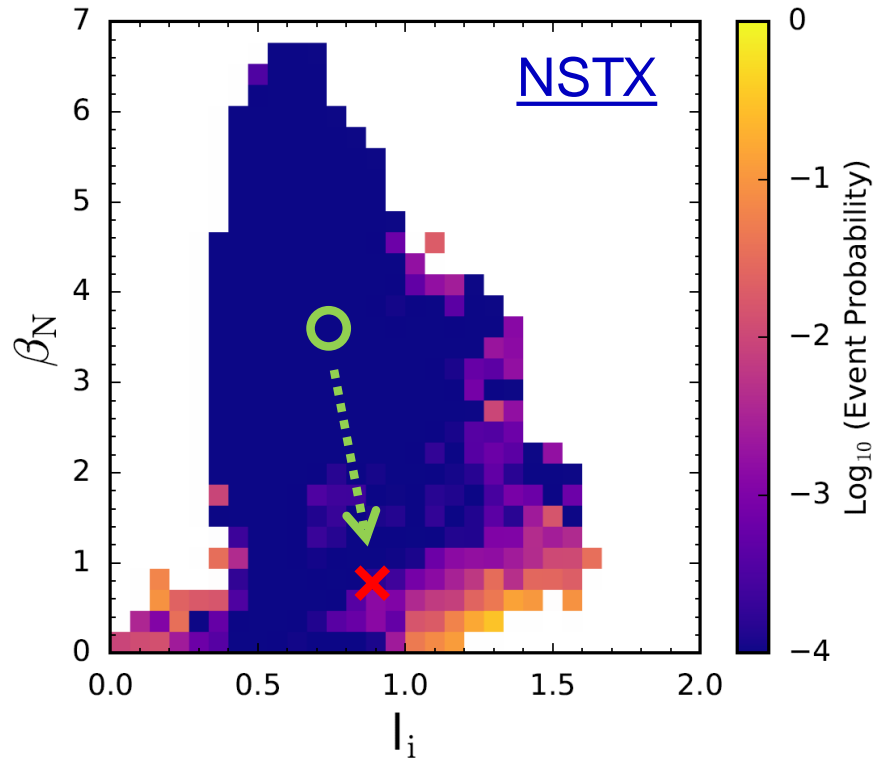
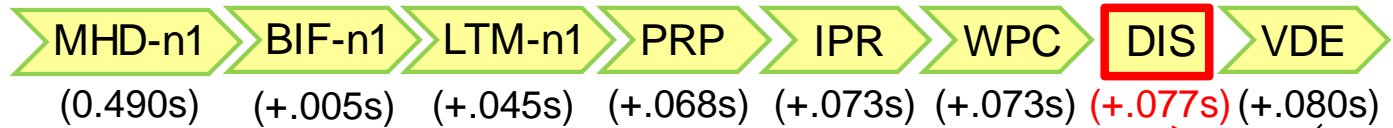
- ❑ Physical event modules encapsulate disruption chain events
 - ❑ Continued development focuses on improving these modules
 - ❑ Structure eases parallel development incl. real-time
- ❑ KEY: Offline and real-time analysis **INTEGRATED**
 - ❑ The SAME researchers that oversee the offline code/analysis are responsible for real-time code specifications

DECAF is structured to ease parallel development of disruption characterization, event criteria, and forecasting



DECAF provides an **early disruption forecast** - on transport timescales – giving potential for disruption avoidance

DECAF
event chain

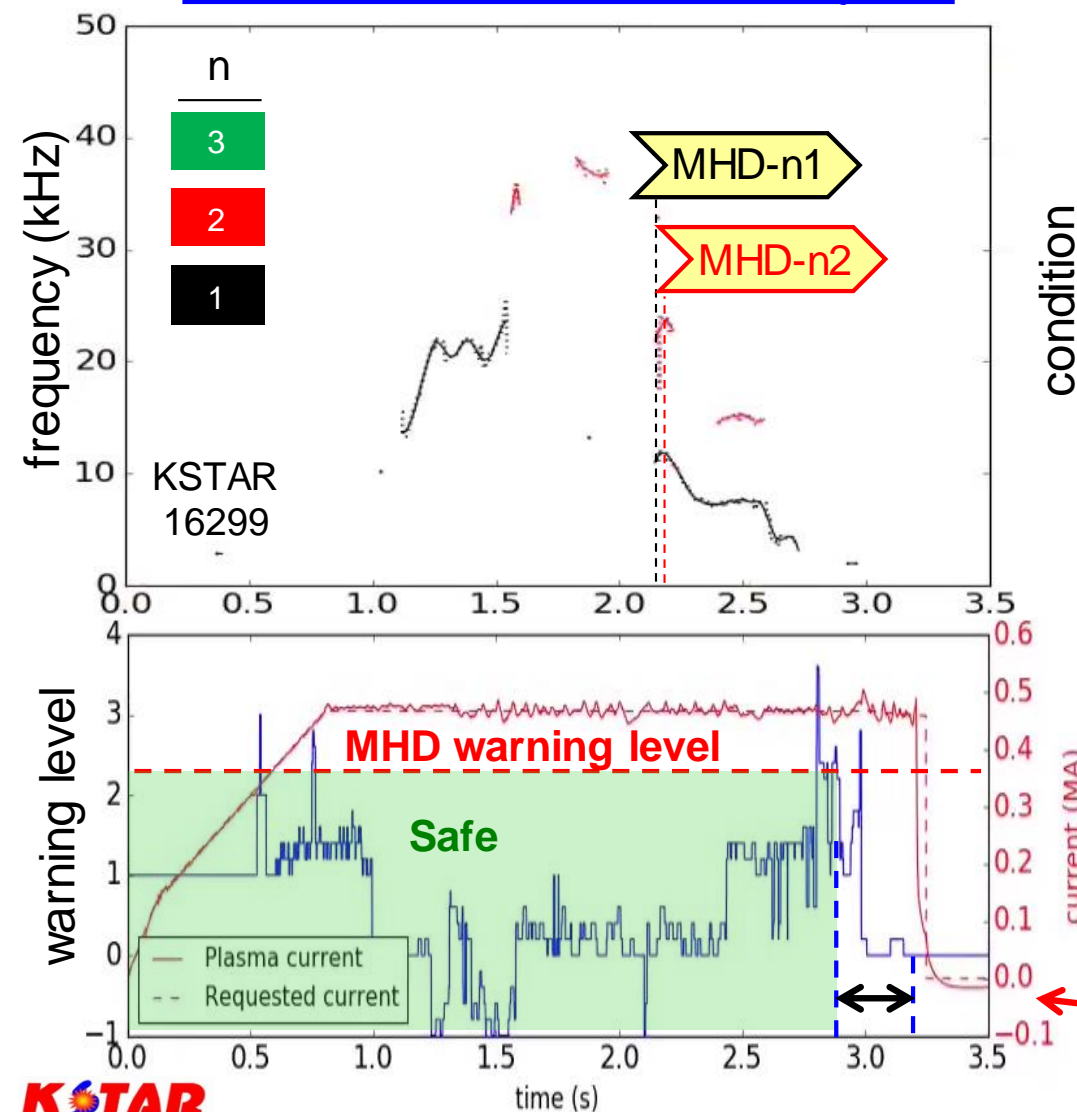


DECAF event chain reveals physics

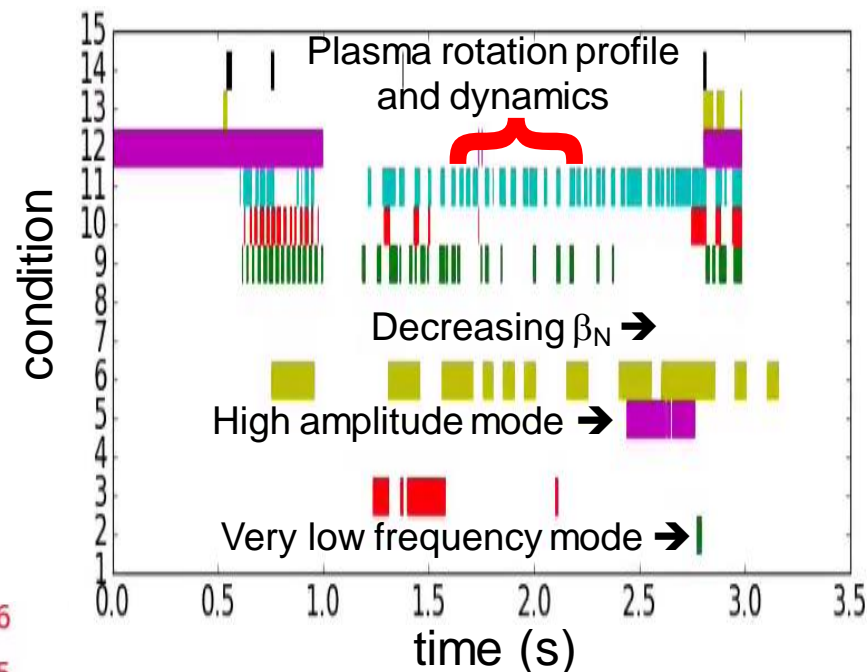
- Rotating MHD slows, bifurcates, and locks
- Then, plasma has an H-L back-transition (pressure peaking warning PRP) before DIS
- Important: Early warning occurs in apparently **SAFE** region of operating space!

DECAF MHD events also produce early disruption warnings for KSTAR; aim to compute in real-time

DECAF automated MHD objects




DECAF "heat map" (for MHD)



- Mode locking at reduced plasma rotation
- Key notables of MHD warning
 - "Safe"/"unsafe" MHD periods
 - Early disruption warning (300 ms) → on transport timescale

Continued development of DECAF builds from an extrapolable approach with strong initial success

- ❑ Fully automated, physics-based analysis of existing tokamak databases from multiple devices
- ❑ Analysis of all plasma states, continuous and asynchronous events, continuous “warning level” determination
 - ❑ “Safe”: events indicating steady operation (e.g. determination of L-mode, H-mode, steady ELMing, etc.)
 - ❑ “Proximity”: expected paths to “critical” events 
 - ❑ “Critical”: event chains leading to disruption if no action taken
- ❑ “Forecaster events” using models to provide the earliest possible indication of issues
- ❑ High success found to date, determined quantitatively
 - ❑ > 91% true positive, ~ 8% false positive rate (~1e4 shots, ~1e6 samples)
- ❑ Research continues focused on improving forecasting to needed accuracy (98%+ goal, w/low false positives),

Recent focus: implementation of real-time (r/t) diagnostic hardware at KSTAR enabling DECAF

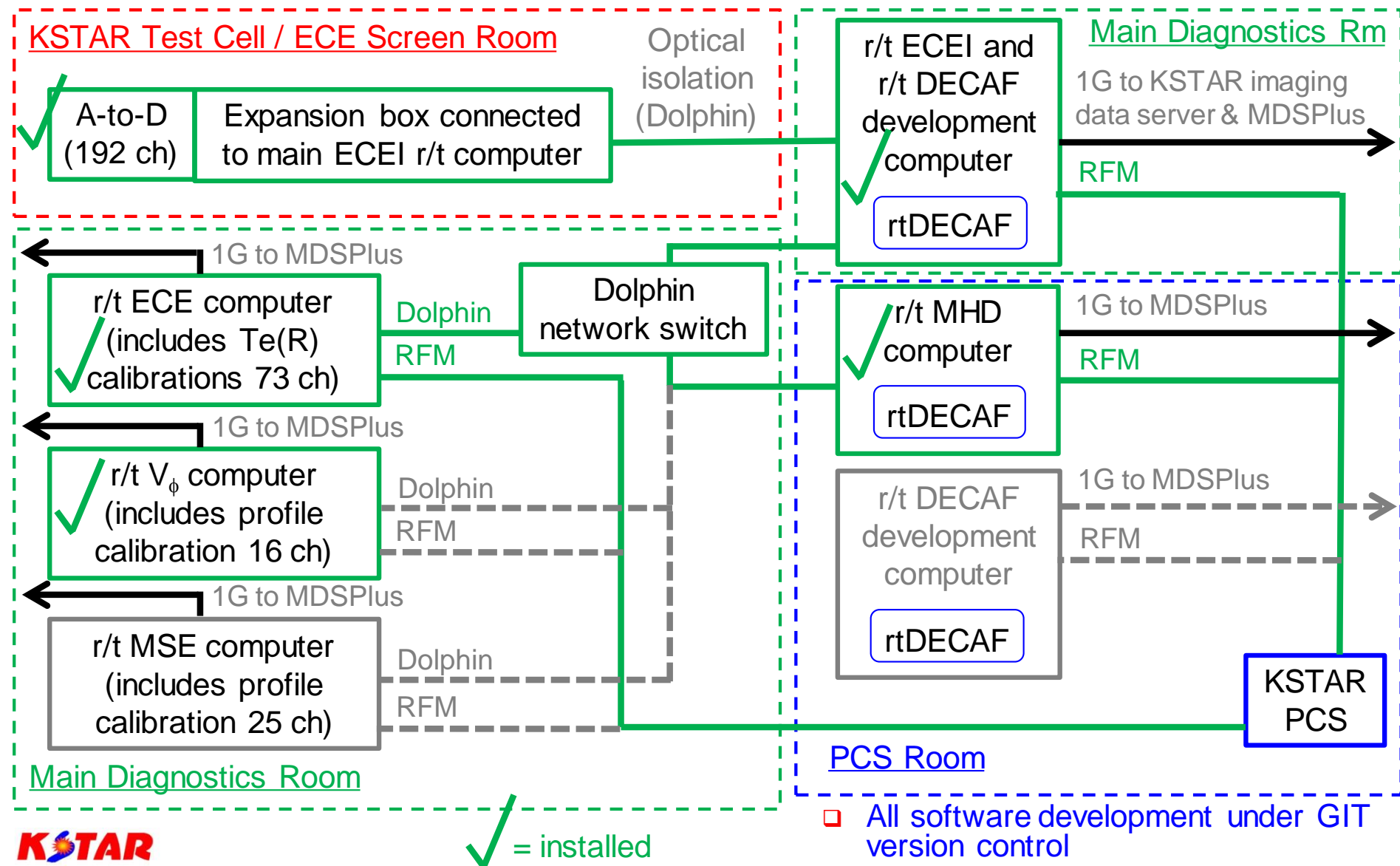
❑ Implementation of real-time diagnostic capabilities

- ✓ ☒ rtMHD system taking data; FPGA card real-time processing of FFTs with programming for all 16 channels completed (W. Que)
- ✓ ☒ rtV_φ system installed, data taken in 2020, V_φ(R) profile measured with temporary calibration; new system designed, to install 2021 (M. Podesta)
- ✓ ☒ rtECE system (T_e(R)) installed at KSTAR, accessible, on new Dolphin r/t network (10x bandwidth of present RFM network)
- ✓ ☒ rtECEI system (2D δT_e) installed at KSTAR, accessible, on new Dolphin network
- ☐ rtMSE computer and interface in design (B pitch angle, δB) (F. Levinton)

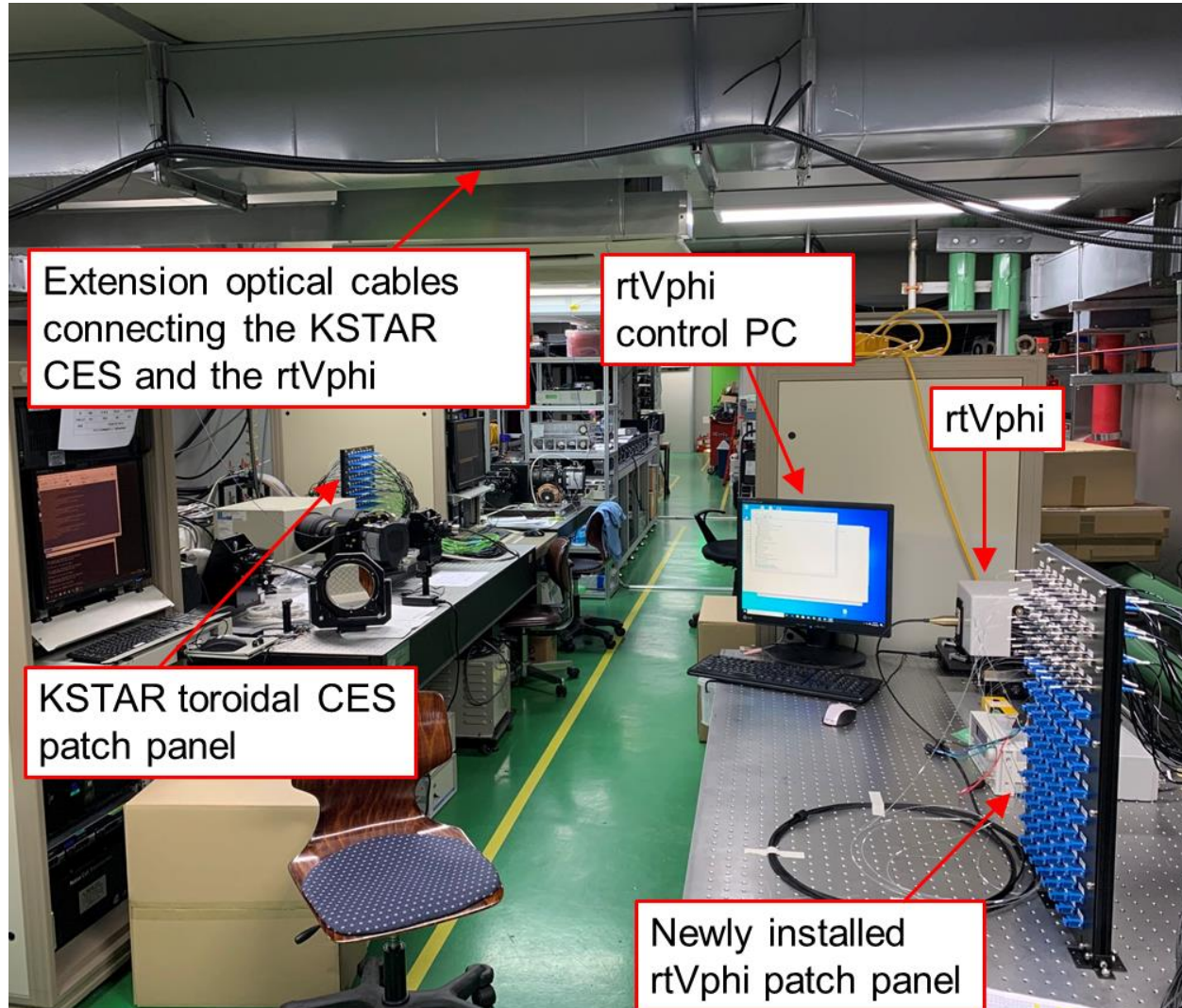
❑ DOE “Reach: Milestone (for KSTAR 2021 run campaign)”

- ☐ DEPARTE-2021-4R: Utilize first real-time DECAF events to actuate the KSTAR shattered pellet injectors (SPI) for disruption mitigation
- ☐ DECAF “LTM event forecaster” planned to be used for this demonstration

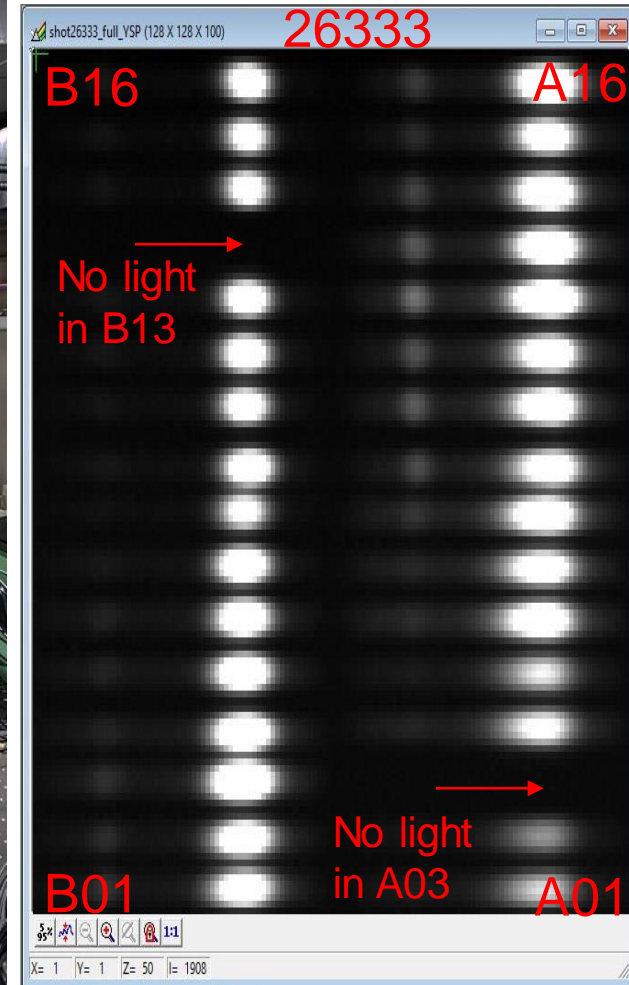
Overall setup for KSTAR real-time diagnostic integration and DECAF analysis for the PCS



Real-time toroidal velocity diagnostic (rtV_φ) installation completed on KSTAR (Oct. 29th), first light the next day!



First Light! 32 channels

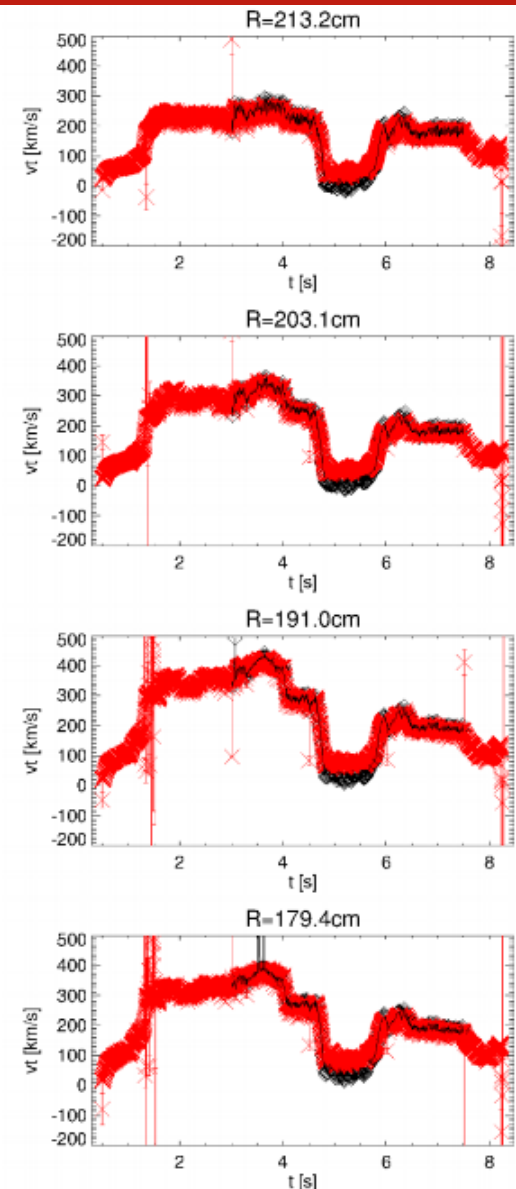


□ Initial real-time KSTAR V_{ϕ} profile data taken 2020

M. Podesta, J. Yoo (PPPL),
Y.S. Park (CU), WH. Ko (KFE)

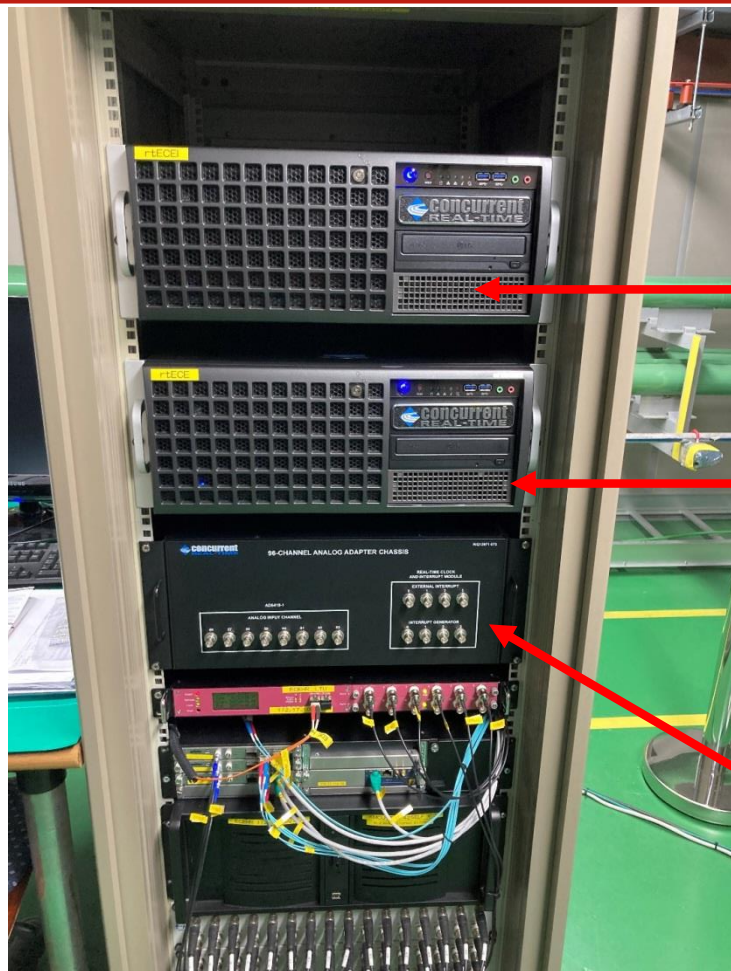
Real-time V_ϕ profile shows very good agreement with KSTAR CER system

- No Ne glow wavelength calibration performed
- Raw calibration based on passive spectra
- Refined through comparison with CER
- Overall, good agreement between the two systems
- Shown: RTV @1kHz vs CER @100Hz
 - Other shots show “blips” in rotation over ~1-5ms time-scale
- Tested for 16 channels at 1Khz
 - May have to reduce to 8 channels for final system



Status and plans for RTV system on KSTAR (Podestà)

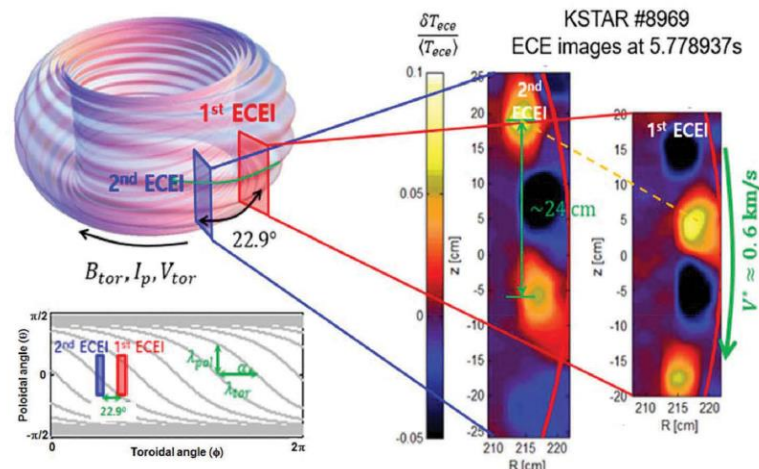
KSTAR real-time ECE and ECEI data acquisition hardware installed earlier this year (2021)



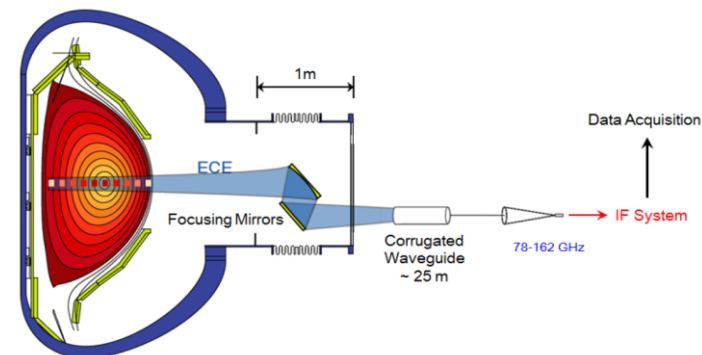
rtECEI

rtECE

rtECE
interface



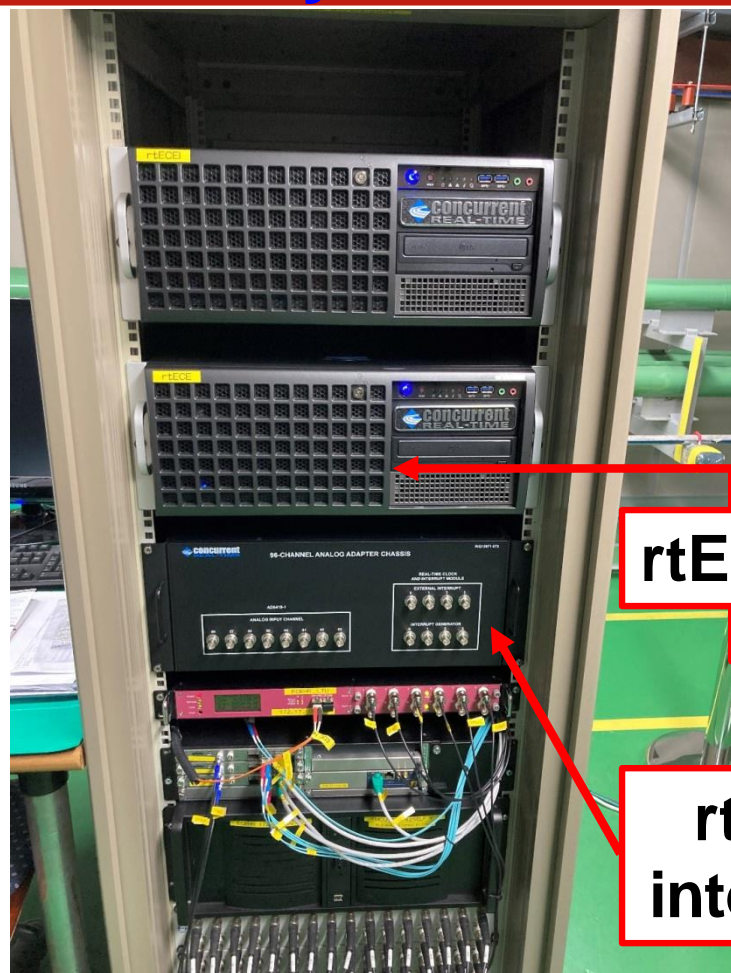
H.K. Park, Adv. in Physics: X, 4:1, 1633956 (2019)



K.D. Lee, KFE

- ❑ rtECE computer near heterodyne radiometer (76 channels)
- ❑ rtECEI computer connected to diagnostic by PCIe expansion box and custom interface in test cell (2D: 192 channels!)

The first real-time DECAF module in KSTAR PCS recently measured T_e profile (1st time last week)

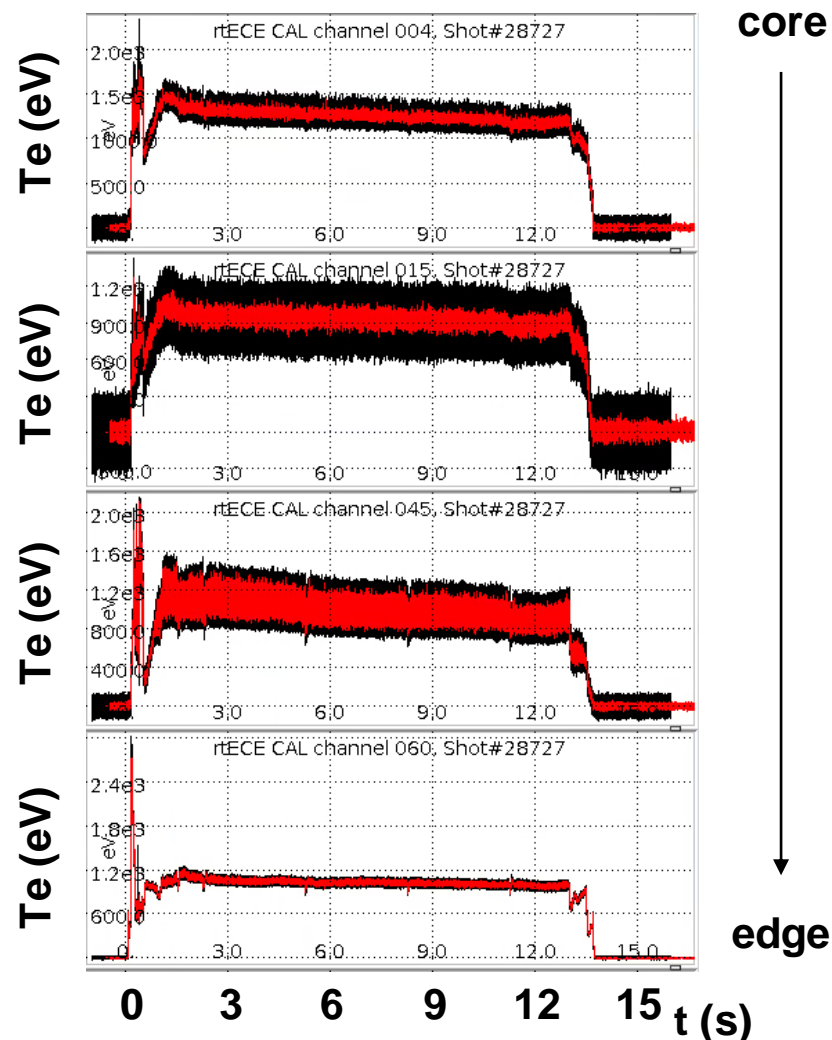


rtECE

rtECE
interface

- Calibrated system, agrees with offline data acquisition

First real-time ECE data ($T_e(R)$)
(red: real-time; black: off-line)



rtECEI DAQ system installed in the KSTAR test cell

Buffer chassis (192 channels)



PCIe expansion chassis

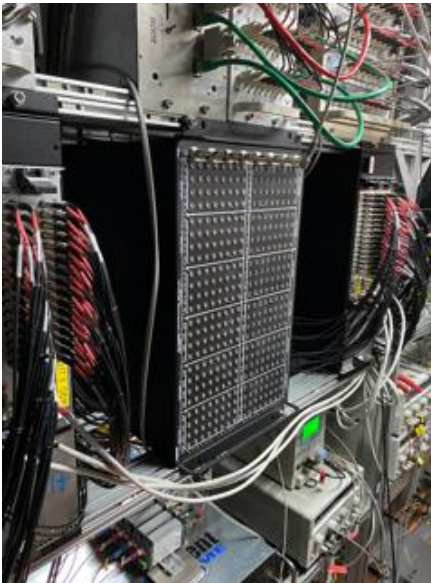


❑ rtECEI computer located in the ECE rack (diagnostics room)

❑ LEMO cables installed for buffer chassis hook-up

❑ Dolphin cable run from ECEI DAQ in test cell to rtECEI computer

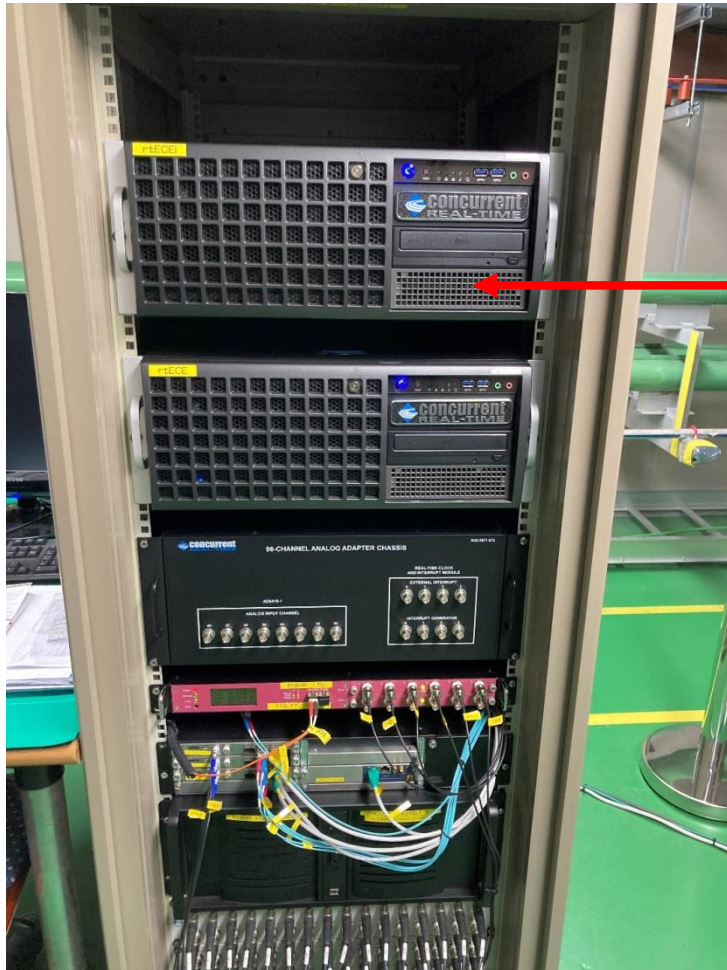
In ECEI DAQ room (test cell)



In ECEI DAQ room (test cell)

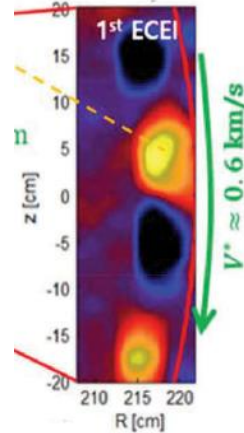


The first real-time ECEI data on KSTAR was recently taken as well (1st time last week)

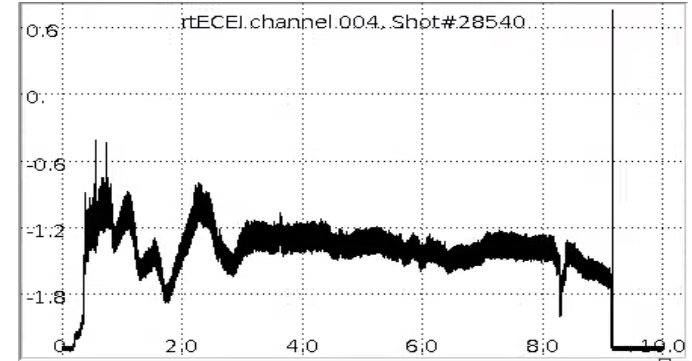


rtECEI

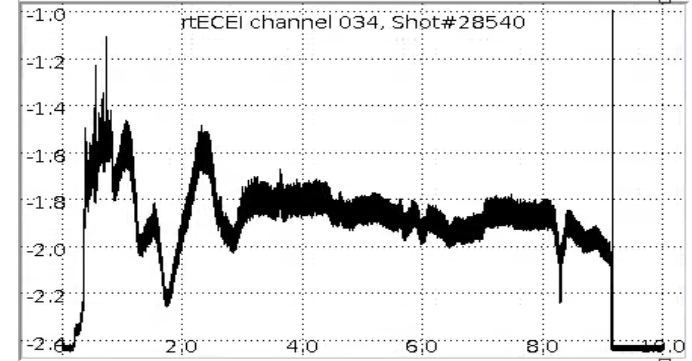
□ 3 of 192 channels shown



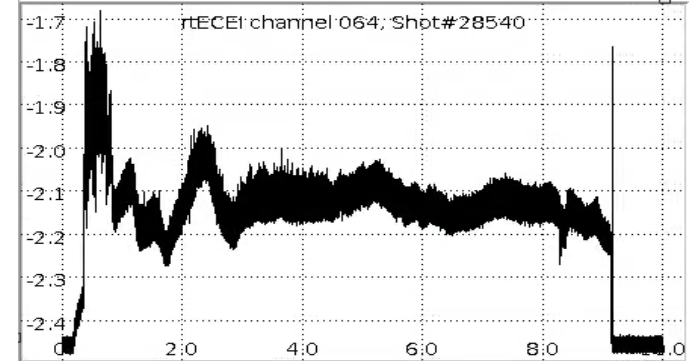
T_e signal (V)



T_e signal (V)



T_e signal (V)

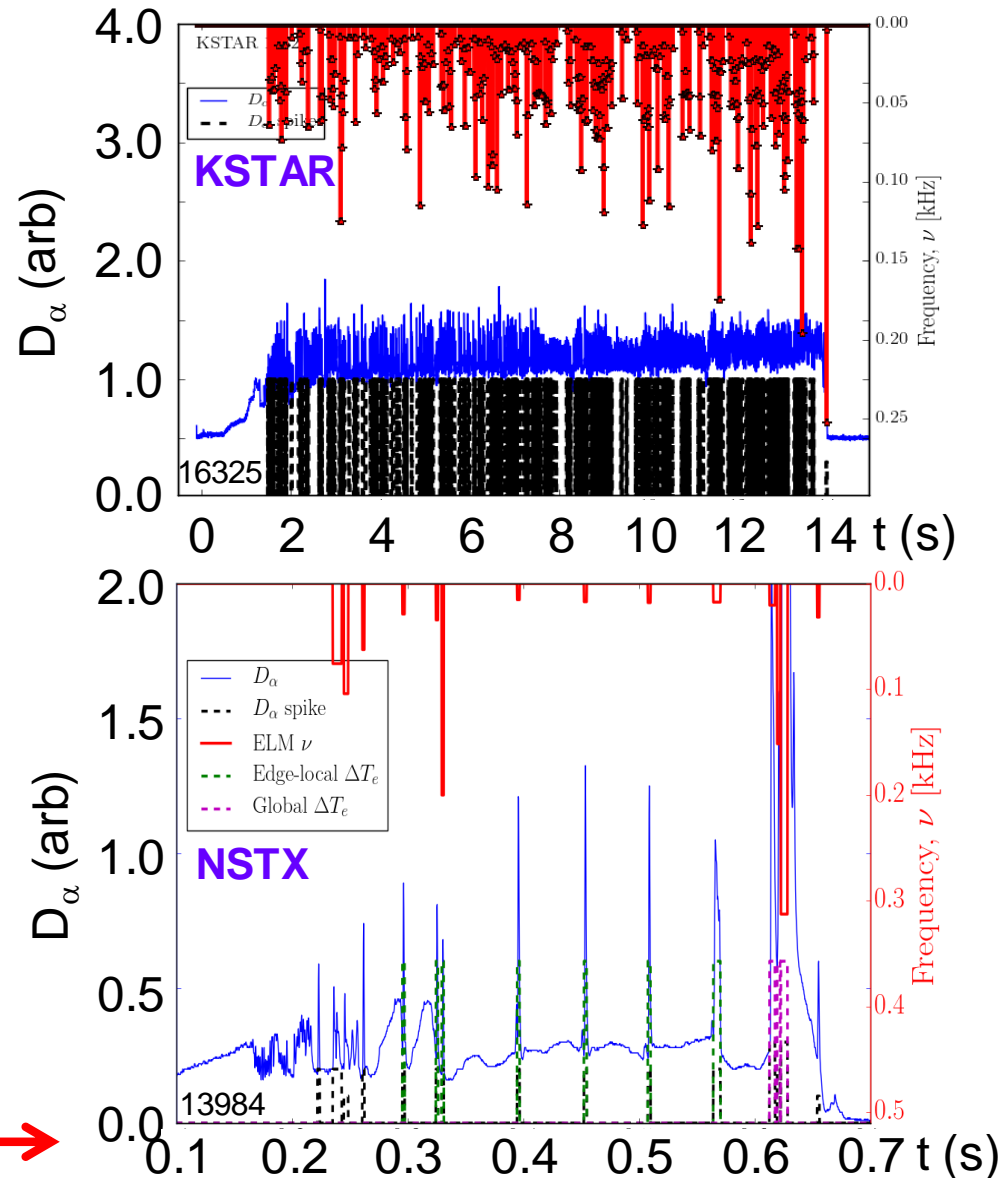


t (s)

New DECAF edge localized mode event created to start examining correlations to other MHD

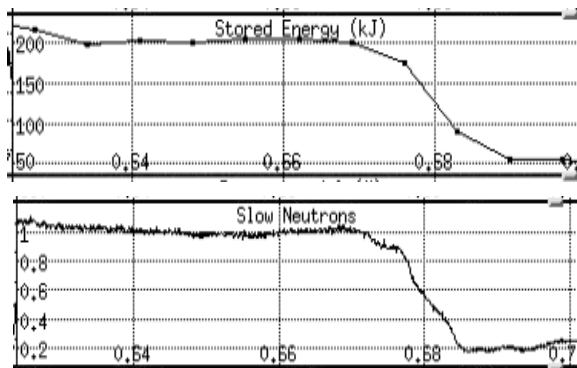
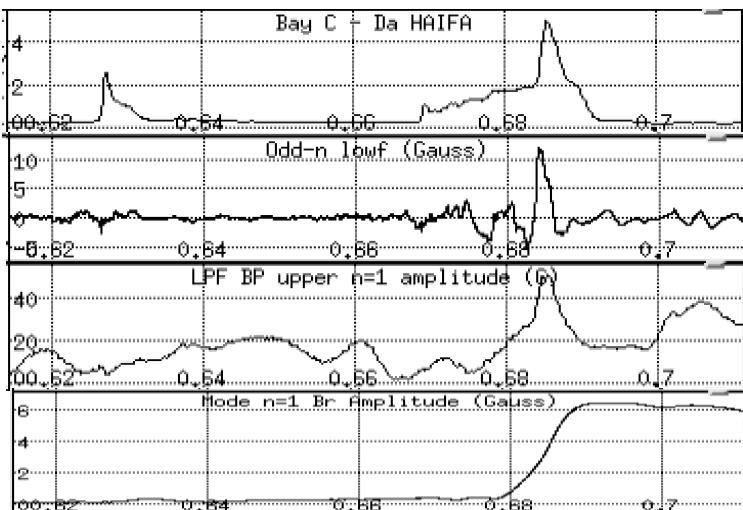
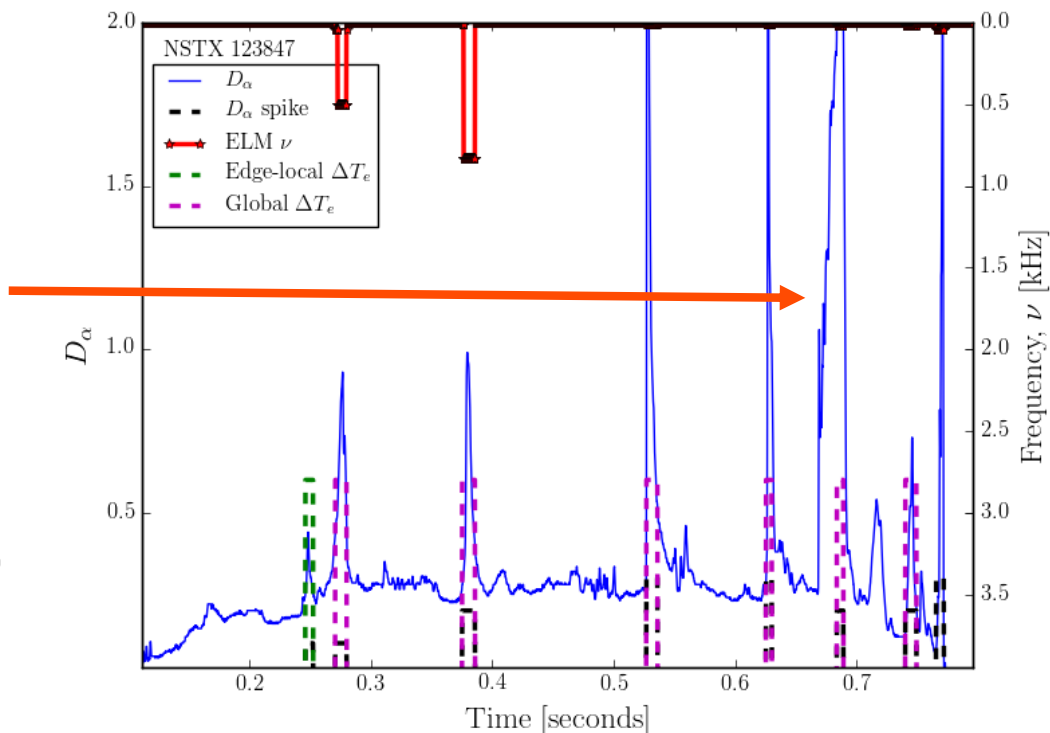
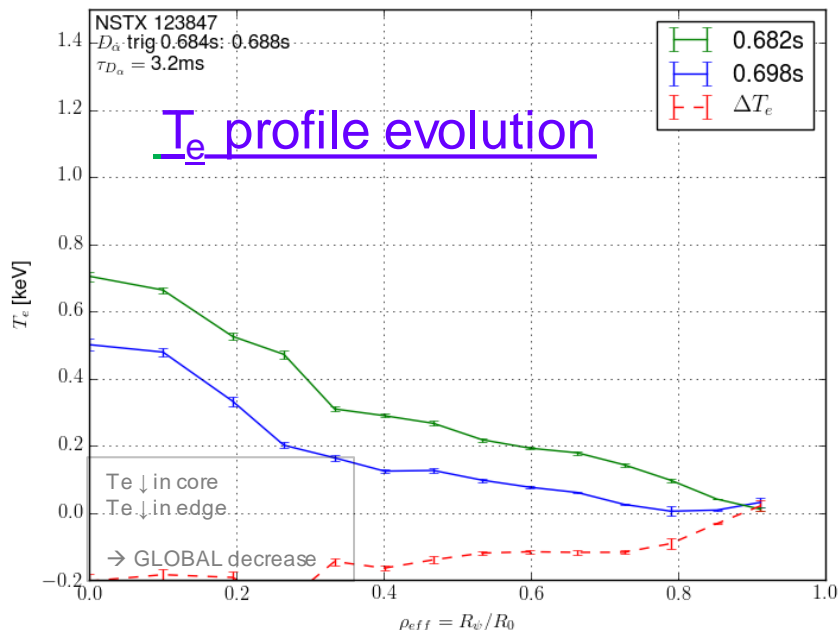
ELM

- DECAF ELM event
 - Presently determines ELM triggering times, along with frequency and relative amplitude
- Algorithm compatible with real-time use
- Distinguishes true “ELMs” from other events (global MHD, etc.) that generate D_α light
 - Magenta dashed lines at $t = 0.6\text{s}$ is a global mode



T_e profile provides critical addition to D_α ELM detection by determining **radial extent of perturbation** – useful for real-time

NSTX



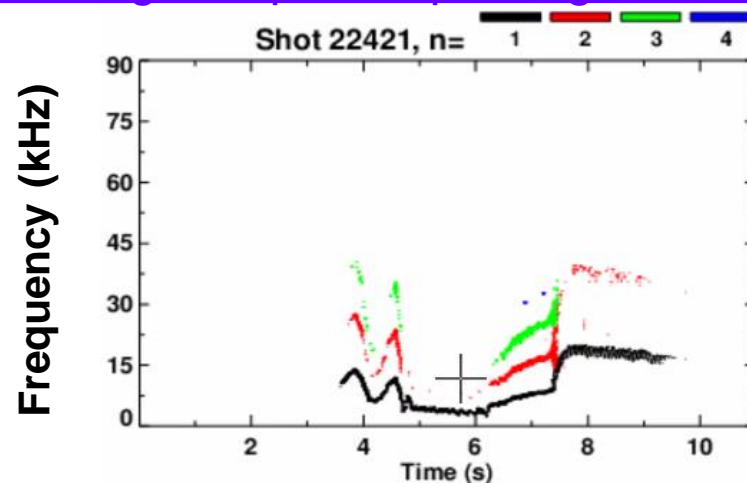
- Global T_e drop, Slow Neutrons (& W_{tot}) exhibit catastrophic drop
 $\rightarrow D_\alpha$ info now to be passed to other DECAF events
- Low frequency, large-amplitude *low-f* mode
- Strong RWM sensor (B_R , B_p) signal increase
- \rightarrow Apparent RWM, not ELM

J. Butt (this meeting)

Real-time MHD system taking data on KSTAR to be used for real-time DECAF application in 2021

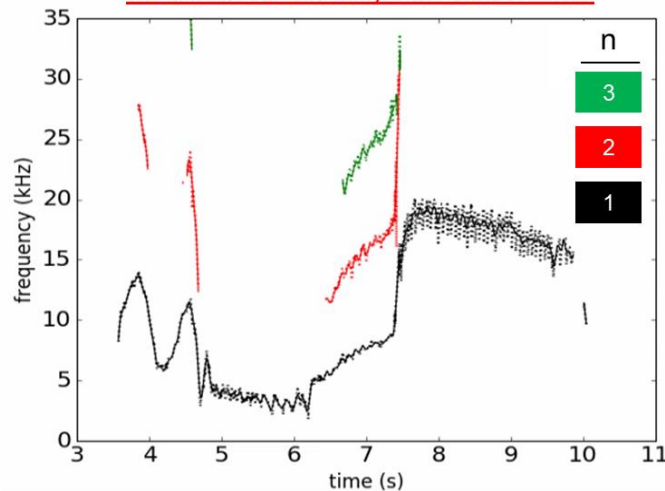
Offline Magnetic probe spectrogram analysis

- Real-time MHD analysis computer installed at KFE
 - Part of plasma control system
 - System FGPA chip now computing FFTs in real-time

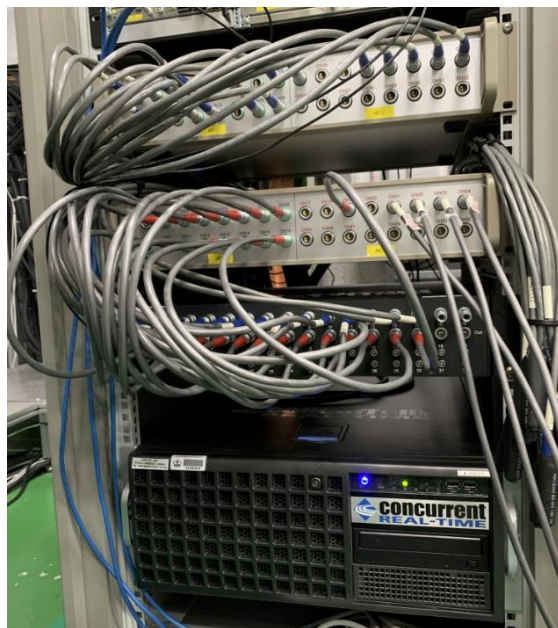
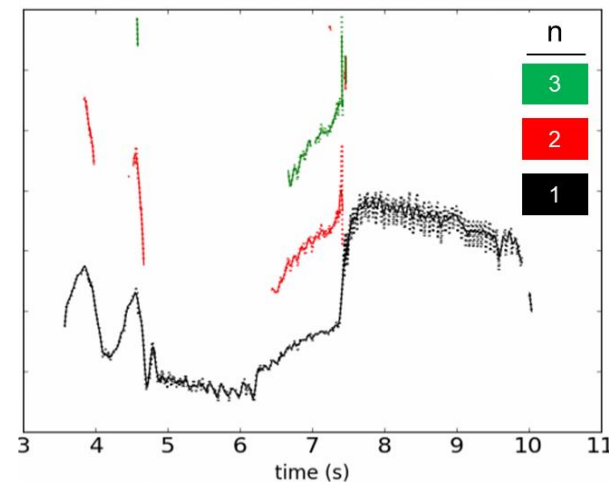


DECAF analysis of real-time signals

Real-time data, FPGA FFTs



Real-time data, offline FFTs



Simple island rotation dynamics model used to forecast the bifurcation point to signal disruption

- ❑ Cylindrical, rigid body model
- ❑ Possible model of drag for both a “slip” and a “no slip” condition:

$$T_{mode} = \frac{k_2 \Omega}{1 + k_3 \Omega^2}$$

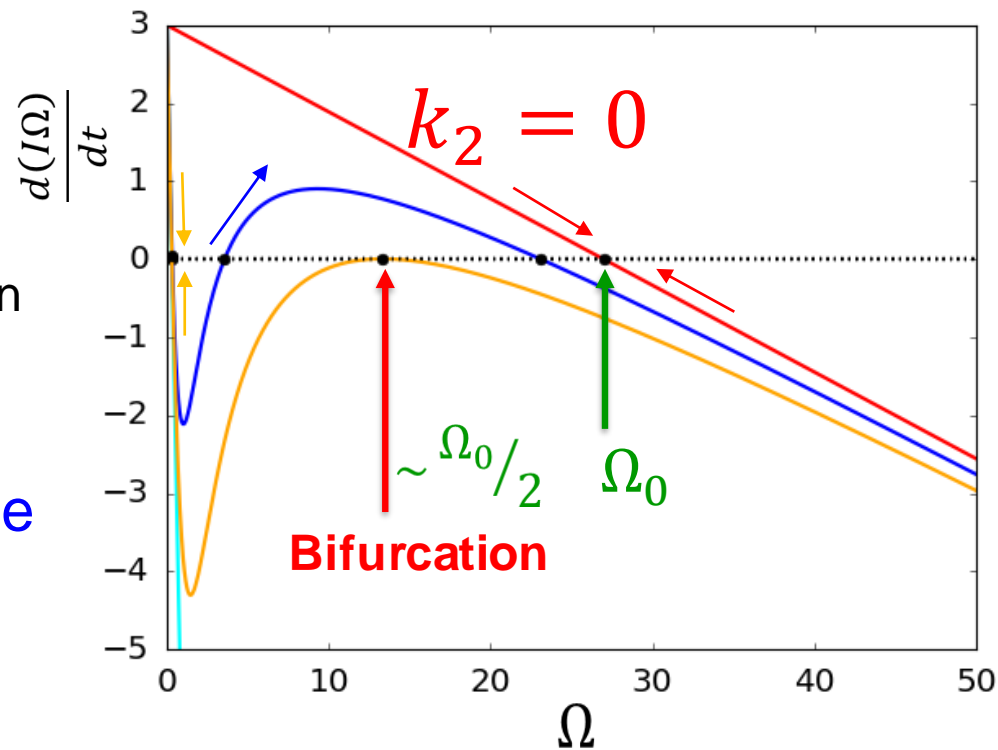
R. Fitzpatrick et al., Nucl. Fusion 33 (1993) 1049

- ❑ At very low angular speed, mode can reach a stable steady state,
→ observed in KSTAR

- ❑ First real-time model, assume “no slip” condition

$$T_{mode} = \frac{k_1}{\Omega}$$

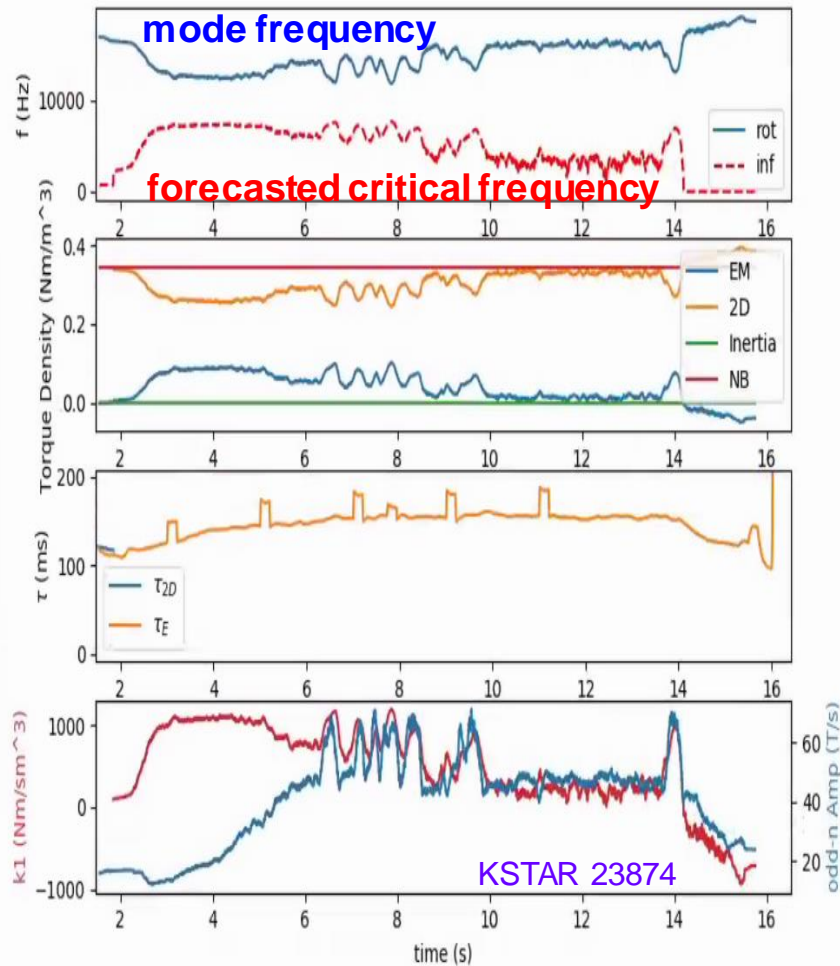
$$\frac{d(I\Omega)}{dt} = T_{aux} - \frac{k_2 \Omega}{1 + k_3 \Omega^2} - \frac{(I\Omega)}{\tau_{2D}}$$



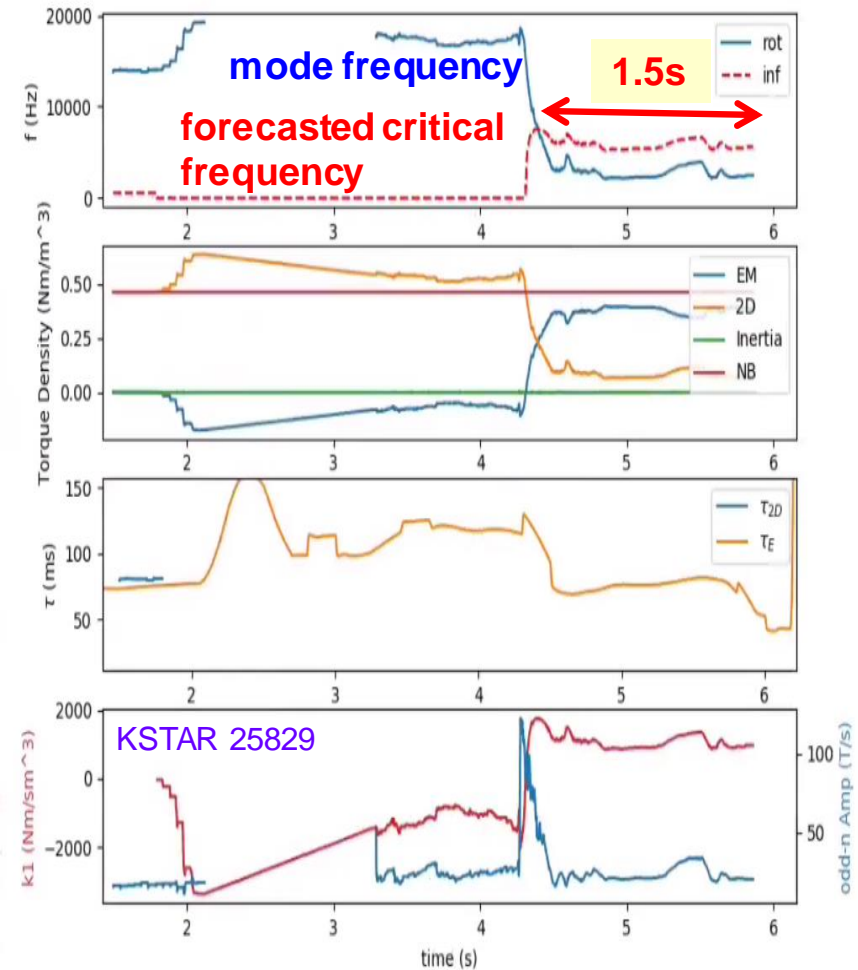
J. Riquezes (this meeting)

New locked mode (LTM) forecaster “measures” key parameters, provides early warning, high success

Safe plasma discharge



Disrupting plasma discharge



- ❑ Disruption forecasted when mode freq. $< 0.5 \times$ computed inflection freq.
- ❑ 13 KSTAR shots from 2020 analysed, 100% success rate; 1.5s warning!

DECAF is fueled by coordinated research that continues to validate/develop physics models, e.g.:

❑ Resistive MHD

- ❑ Detection / forecasting: available magnetic diagnostics, plasma rotation
- ❑ Forecasting: examination of MRE → start with Δ' evaluation

❑ Density limits

- ❑ Detection: rad. power, global empirical limit
- ❑ Forecasting: examination of rad. island power balance model

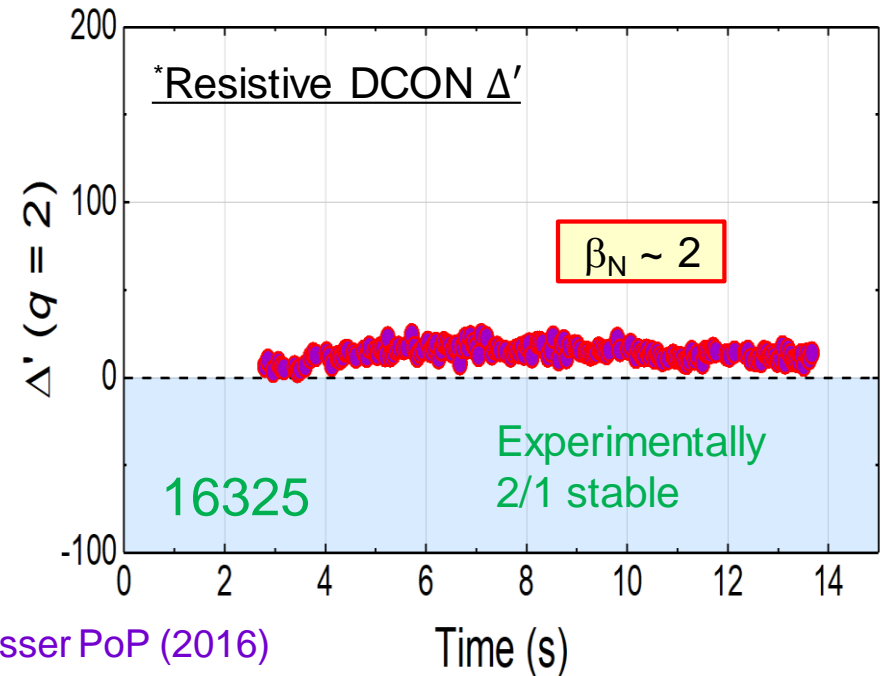
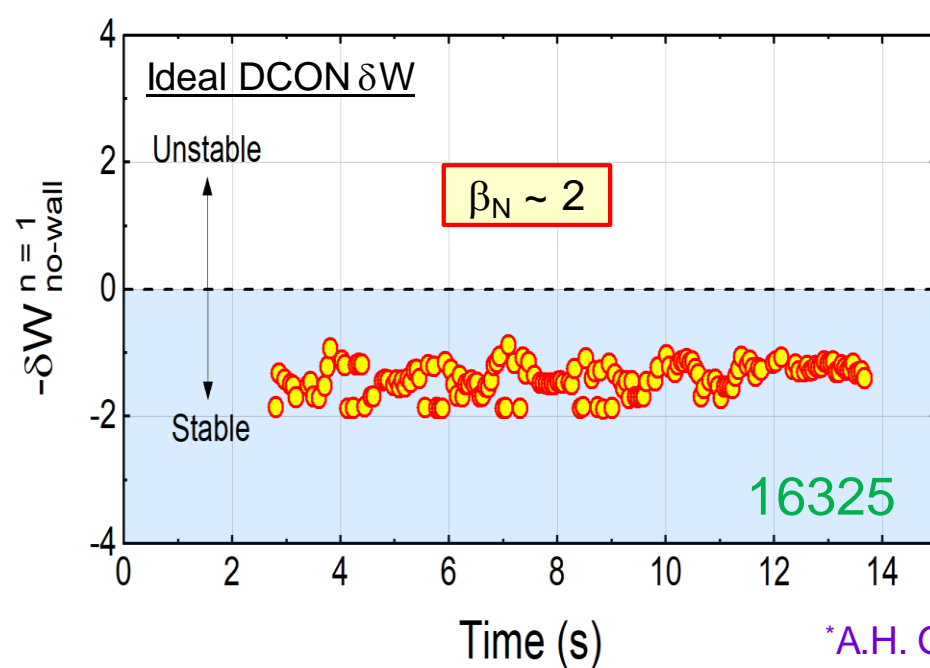
❑ Global MHD

- ❑ Detection: available magnetic diagnostics, plasma rotation, equilibrium
- ❑ Forecasting: Kinetic MHD model has high success in NSTX, DIII-D

❑ Physics analysis / experiments to build DECAF models

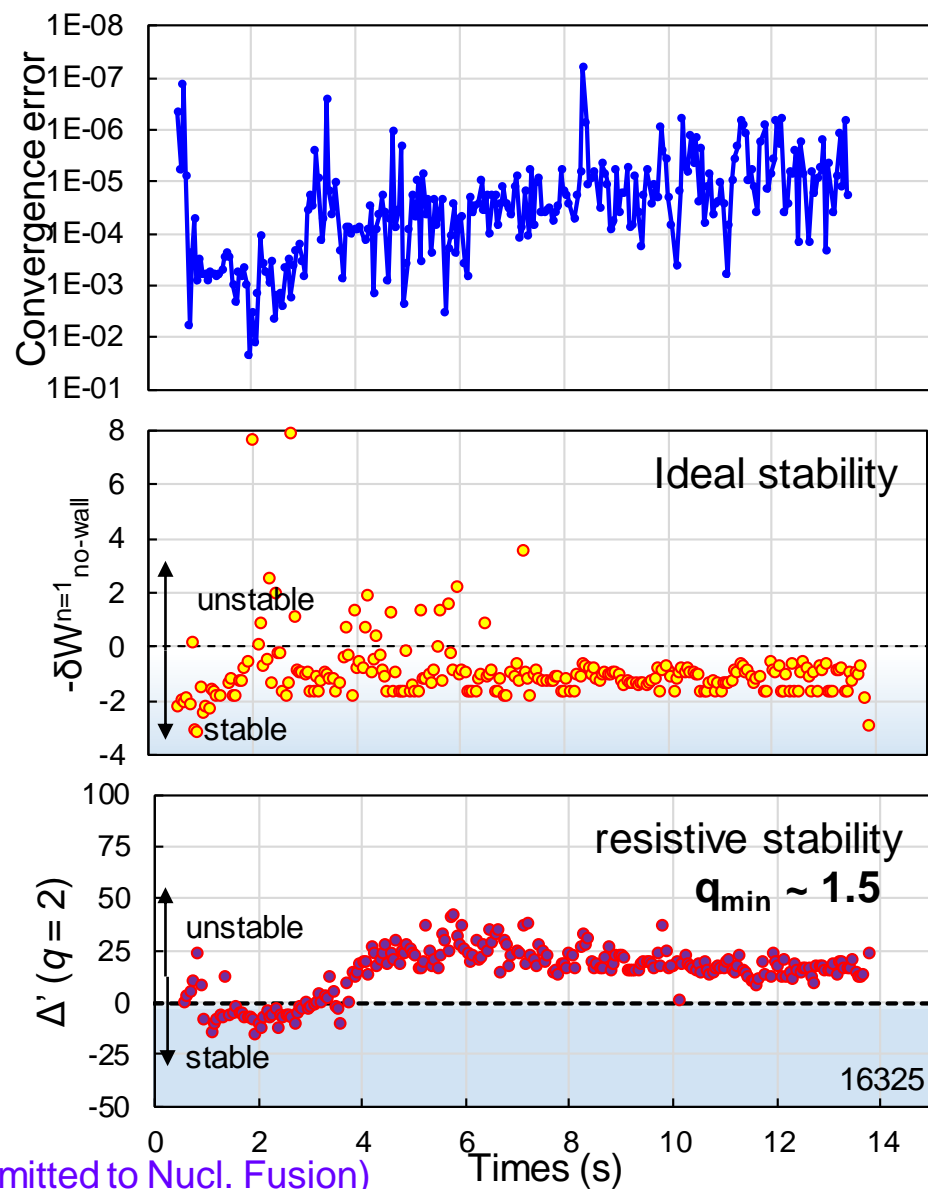
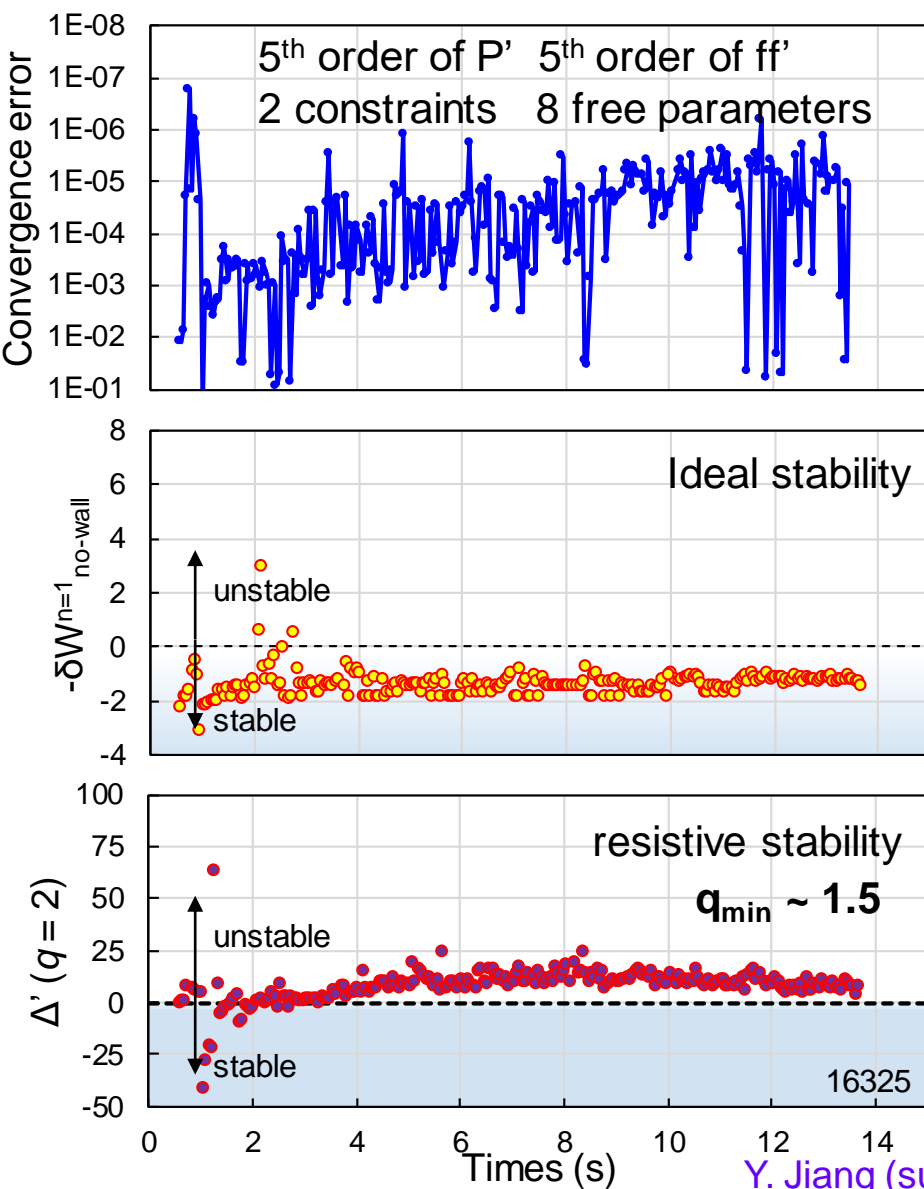
- ❑ Interpretive and “predict-first” TRANSP analysis of KSTAR long-pulse, high beta plasmas with high non-inductive fraction

Tearing mode classical Δ' stability examined in KSTAR plasmas (supports future DECAF models)



- Classical tearing stability index, Δ' , computed at $q=2$ surface using outer layer solutions
- At higher q_{95} , Δ' is mostly positive predicting unstable classical tearing mode
 - Indicates neoclassical effects, additional physics needed to reproduce XP
 - KEY POINT: Conclusions regarding Δ' evolution can be made!
 - Recent paper with MRE evaluation → Y.S. Park, et al., NF 60 (2020) 056007

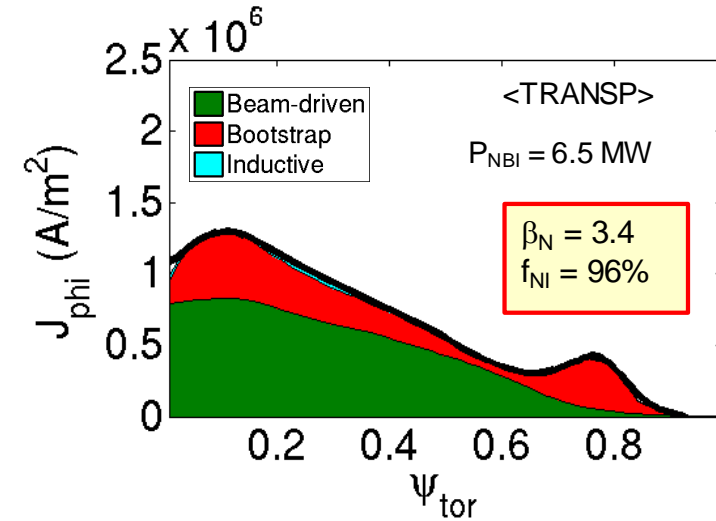
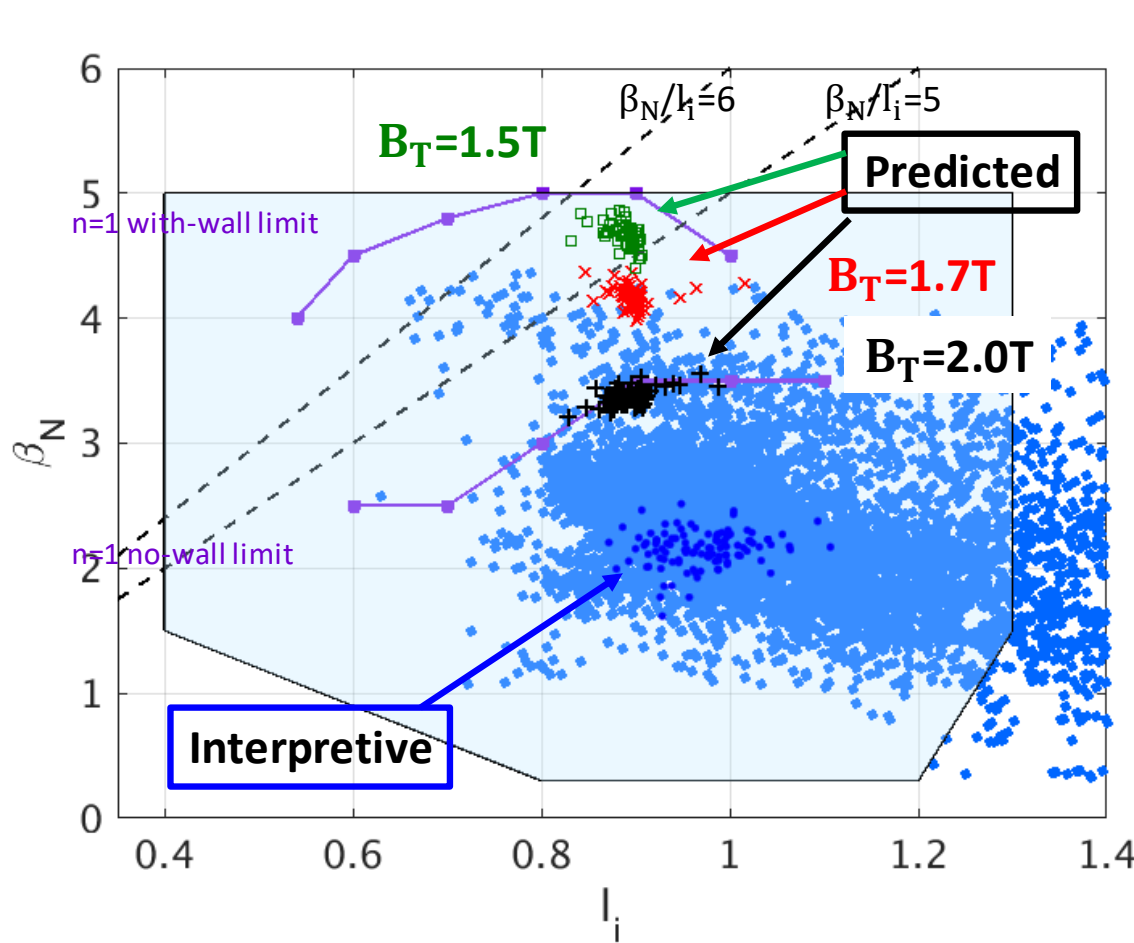
Tearing mode classical Δ' and ideal stability sensitivity to models also studied in KSTAR



Y. Jiang (submitted to Nucl. Fusion)

Predictive TRANSP analysis shows KSTAR design target $\beta_N \sim 5$ can be approached with $f_{NI} \sim 100\%$

- “Predict-first” analysis used to design high- β , 100% non-inductive current fraction (NICF) experiments for present KSTAR run campaign



- Up to 75% NICF already reached in similar plasmas
- By altering I_P and B_T values, $\beta_N > 4$, up to KSTAR design target 5 can be achieved with 100% NICF

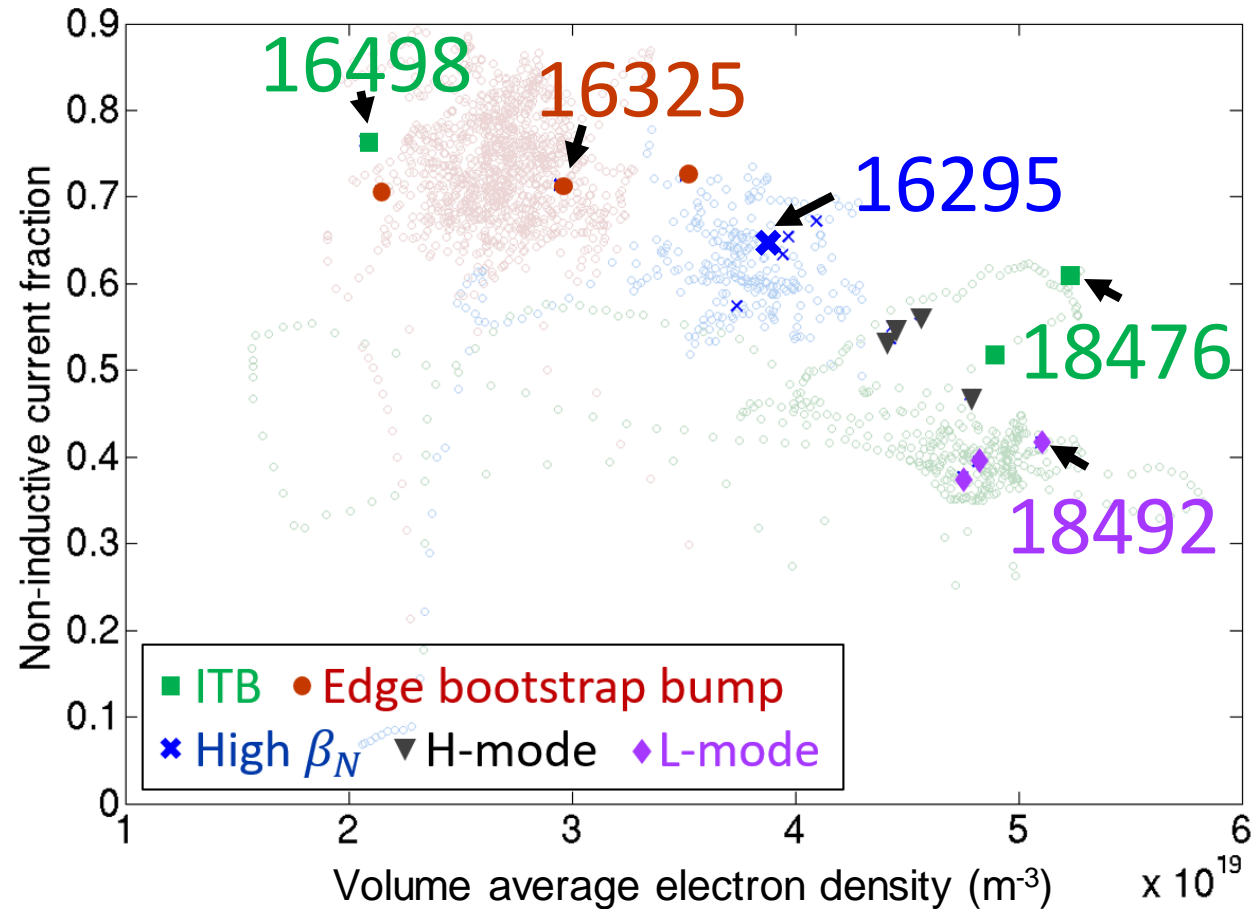
DECAF application and research for disruption prediction and avoidance expanding to real-time

- ❑ Multi-faceted, integrated approach to disruption prediction and avoidance with several key characteristics
 - ❑ Physics-based approach yields key understanding of evolution toward disruptions: confident extrapolation of forecasting, avoidance by control
 - ❑ Full multi-machine databases used (full databases needed!)
 - ❑ Open to all methods of data analysis (physics, machine learning, etc.)
See A. Piccione poster (this meeting)
- ❑ DECAF analysis produces early warning disruption forecasts
 - ❑ Sufficiently early for potential disruption avoidance by profile control
- ❑ Significant physics support efforts from multiple devices
 - ❑ KSTAR Δ' analysis, high to ~100% non-inductive CD transport analysis
- ❑ Implementing real-time DECAF analysis in KSTAR (4 out of 5 new real-time diagnostic data acquisition systems installed)

Supporting slides

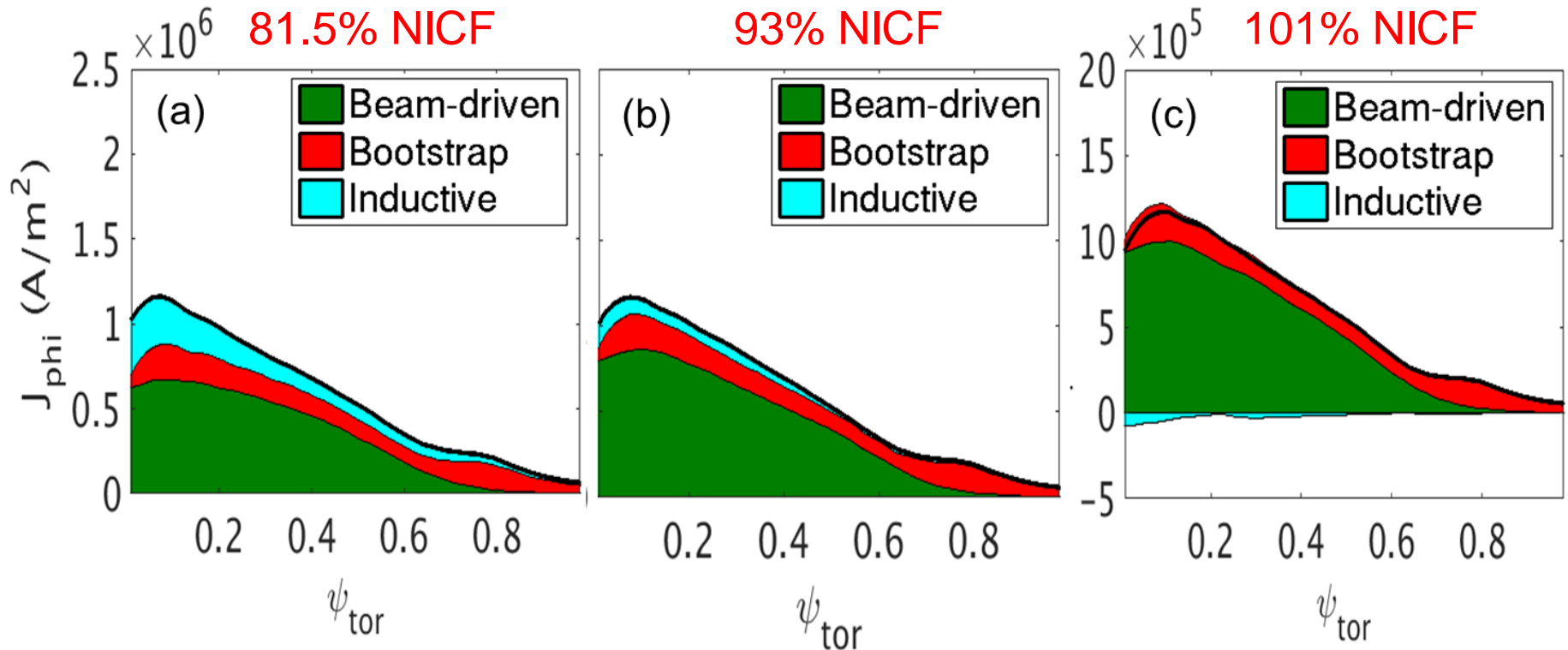
A database of high-non-inductive fraction plasmas is important for disruption forecasting ; NICF ~ 75% in KSTAR

- TRANSP analysis of experimental plasmas
- Non-inductive fraction
 - Beam-driven
 - Bootstrap
- Non-inductive fraction is key for stable high beta steady state operation



“Predict-first” KSTAR TRANSP analysis shows expected high performance plasmas at > 80% NICF

Predicted high non-inductive current fraction (NICF) current profiles



- High non-inductive current fraction predicted for 6.5, 7.5, 8.5 MW NBI
 - The β_N ranges from 3.0 – 3.5; based on KSTAR plasmas with NICF ~70%
- Aim to generate a significant database of long pulse, high NICF plasmas in 2021 KSTAR run for disruption prediction studies